

In Collaboration With



# **Cannabis Packaging and Labeling**

**Regulatory Recommendations for States and Nations** 

The National Cannabis Packaging and Labeling Standards Committee

#### **Authors:**

Chloe Grossman
Andrew Livingston
Jordan Wellington
Courtney Barnes

# Thank you to CRCR's supporters

We would like to thank the following companies — and the leaders of those companies — for providing financial support to the Council on Responsible Cannabis Regulation since our founding in 2014. This document wouldn't exist without your generosity.



















# And thank you to the National Cannabis Industry Association

As noted in greater detail in this document, this project was a collaboration between the Council on Responsible Cannabis Regulation and the National Cannabis Industry Association. NCIA's board chair, Jaime Lewis, worked with CRCR's staff to help conceptualize the project and served as co-chair of the committee assembled to guide and inform the process. NCIA's membership also played an important role in the process, with hundreds of business owners responding to a lengthy survey on aspects of packaging and labeling. Thanks to NCIA and its membership, we may now be closer to consistent packaging and labeling standards from state to state — and perhaps, eventually, at the federal level.

# **CONTENTS**

INTRODUCTION	5
BACKGROUND	5
PROCESS AND PARTICIPANTS	6
CANNABIS LABELING REGULATORY RECOMMENDATIONS	10
RECOMMENDATION 1: FONT AND TYPE SIZE	10
RECOMMENDATION 2: COMMON OR USUAL NAME OF THE PRODUCT	10
RECOMMENDATION 3: LICENSEE NAME AND PHONE NUMBER OR EMAIL ADDRESS	11
RECOMMENDATION 4: NET QUANTITY OF CONTENTS	12
RECOMMENDATION 5: LICENSE NUMBER AND BATCH OR LOT CODE	13
RECOMMENDATION 6: INGREDIENTS LIST	15
RECOMMENDATION 7: ALLERGEN LABELING	16
RECOMMENDATION 8: NUTRITION FACTS	17
RECOMMENDATION 9: CANNABIS FACTS PANEL	18
RECOMMENDATION 10: CONTAMINANT TESTING STATEMENT	23
RECOMMENDATION 11: UNIVERSAL SYMBOL	24
RECOMMENDATION 12: WARNING STATEMENTS	26
RECOMMENDATION 13: PROHIBIT UNTRUTHFUL OR MISLEADING STATEMENTS	28
RECOMMENDATION 14: SMALL PACKAGE LABELING COMPLIANCE	30
CANNABIS PACKAGING REGULATORY RECOMMENDATIONS	31
RECOMMENDATION 15: CHILD-RESISTANT PACKAGING	31
RECOMMENDATION 16: LIQUID UNIT MEASUREMENT	33
RECOMMENDATION 17: OPAQUE PACKAGING	33
RECOMMENDATION 18: PROHIBIT PACKAGING THAT IS ATTRACTIVE TO MINORS	34
RECOMMENDATION 19: PROHIBIT PACKAGING THAT RESEMBLES PACKAGING OF CERTAIN COMMERCIAL	
AVAILABLE PRODUCTS	35
RECOMMENDATION 20: REQUIRE PACKAGING TO PROTECT CONTENTS FROM CONTAMINATION	36
MODEL PACKAGING AND LABELING REGULATIONS	37
APPENDIX	63
DISCUSSION: STATE-IMPOSED THC POTENCY LIMITS	63
Survey Findings	65
Product Tracking	66
Dates	68
Common Food and Dietary Supplement Labeling Requirements	73
Storage, Handling and Use – Ingestibles and Non-Ingestibles	74
Cultivation Inputs	74
Chemicals and Solvents Contaminant Testing on Labels	75 76
Potency Labeling	76
Industry-Wide Standard Serving for Adult-Use Ingestible Infused Products	77
Total THC Cap in Adult-Use Multi-Unit Ingestible Infused Products	79
Demarcation or Scoring of Single Unit in Solid Ingestible Infused Products	80
Measurement Device for Multi-Unit Liquid Infused Products	80
Alcohol Proof	81
Industry-Wide Universal Symbol	81
Product Activation Time	82

Warning Statements	82
Child-Resistant Packaging by Product Type	85
Child-Resistant Exit Bag vs. Child-Resistant Product Packaging	87
Packaging and Labeling Pre-Approval by Regulator	87
Reuse of Product Packaging by Same Consumer	89
Reuse of Product Packaging for Different Consumer	89

#### INTRODUCTION

# **Background**

Legal cannabis has become a majority phenomenon in the U.S. At present, medical cannabis use is legal in 28 states and D.C. and eight states and D.C. allow cannabis for adult use. Despite this major shift in state policy, cannabis remains a Schedule I substance at the federal level and its production and distribution is unlawful in the absence of a DEA license. However, the Department of Justice has elected not to intervene if state-legal cannabis businesses do not violate the eight priorities set forth in the Cole Memorandum and the state's cannabis regulatory controls are robust, effective, and align with federal enforcement priorities.<sup>1</sup>

Consequently, licensed commercial cannabis businesses operate in accordance with relatively stringent regulations which vary substantially from state to state. There is a tendency for new cannabis regulatory agencies to modify and expand upon the frameworks enacted by early adopters, which is beneficial in that the states are making use of the practical experience of others while still functioning as "laboratories of democracy," but this practice is detrimental in other regards.

This paper presents regulatory recommendations and model regulations for cannabis packaging and labeling that emerged from a year-long critical assessment of suboptimal and inconsistent state regulations in one specific area: cannabis packaging and labeling. The decision to explore cannabis packaging and labeling was inspired by the great patchwork of inconsistent packaging and labeling rules across legal cannabis states, which have been the subject of much debate and frustration among industry and consumers alike. The overarching goal is to encourage greater consistency and judiciousness in cannabis rulemaking by providing state regulators with model packaging and labeling regulations supported by in-depth research, analysis, and input from diverse stakeholders and experts.

<sup>&</sup>lt;sup>1</sup> Deputy Attorney General James M. Cole. Memorandum to all United States Attorneys, August 29, 2013. "Guidance Regarding Marijuana Enforcement." United States Department of Justice. Retrieved from: <a href="https://www.justice.gov/iso/opa/resources/3052013829132756857467.pdf">https://www.justice.gov/iso/opa/resources/3052013829132756857467.pdf</a>

<sup>&</sup>lt;sup>2</sup> New State Ice Co. v. Liebmann, 285 U.S. 262 (1932)

# **Process and Participants**

In late 2015, the Council on Responsible Cannabis Regulation (CRCR) and the National Cannabis Industry Association (NCIA) joined forces on a project designed to address the need for best practices and greater consistency in state regulation of cannabis packaging and labeling. The central goal was to generate detailed regulatory recommendations for cannabis packaging and labeling for state regulators based on feedback from a diverse working group of subject-matter experts, stakeholder surveys and input, as well as an examination of federal standards for similar products and lessons from legal cannabis states.

# The National Cannabis Packaging and Labeling Standards Committee

The recommendations for the regulation of cannabis packaging and labeling presented in this white paper were developed over the course of a year by the multi-disciplinary National Cannabis Packaging and Labeling Standards Committee ("Committee"). The Committee is comprised of cannabis industry and ancillary business leaders, legal professionals specializing in cannabis and other hyper-regulated industries, researchers, public health experts, and state cannabis regulators participating on an ex-officio basis. CRCR and NCIA representatives served as Committee co-chairs and project supervisors. A complete list of members of the Committee and their organizational affiliations is provided on the following page.

Committee Member	Affiliation(s)
Jaime Lewis (Co-Chair)	Mountain Medicine, National Cannabis Industry Association
Jordan Wellington (Co-Chair)	Vicente Sederberg, Council on Responsible Cannabis Regulation
Chloe Grossman	Council on Responsible Cannabis Regulation
Andrew Livingston	Vicente Sederberg, Council on Responsible Cannabis Regulation
Jodi Duke, MPH, CPH	CU School of Medicine, Colorado School of Public Health, CORE
Sabrina Fendrick	Berkeley Patients Group
Kayvan Khalatbari	Denver Relief Consulting, Cresco Labs
Kristi Knoblich	Kiva Confections
Jill Lamoureux	Trellis Research Group, BOTEC
Mike LeBlanc	Compliant Packaging
Ross Kirsh	Quark Distribution
Miren Klein (Ex-Officio)	California Department of Public Health
David McNicoll	Dave's Space Cakes, Oregon Responsible Edibles Council
Tim Moxey	Botanica Seattle
Paul Mullaly	LabelTec
Kristin Nevedal	Americans for Safe Access
Amy Poinsett	MJ Freeway
Mary Shapiro	Mary L. Shapiro Law
Lindsay Short	Zoots
Lindsay Topping	Dixie Elixirs
Jane Wilson	American Herbal Products Association
Shannon Wilson	Mahatma Concentrates
Kristi Wolff	Kelley Drye & Warren LLP
Chris Van Gundy	Keller and Heckman LLP

### Objectives

The Committee's work was guided by the following objectives:<sup>3</sup>

- To ensure cannabis packaging and labeling regulations protect public and consumer health and safety.
- To ensure cannabis packaging and labeling regulations have a sound legal and empirical basis.
- To identify packaging and labeling requirements for cannabis that are effective and operable, while recommending the elimination of those that are not.
- To align state cannabis packaging and labeling regulations with federal laws and regulations for packaging and labeling of products with shared characteristics (e.g., food products, drugs, dietary supplements, cosmetics, alcoholic beverages, tobacco products), when appropriate.
- To encourage uniformity in state cannabis packaging and labeling regulations.

#### **Process in Brief**

The project began with four Committee meetings in which members discussed existing state cannabis packaging and labeling requirements. Each early discussion was guided by an extensive list of existing state requirements ("conceptual draft") circulated a week in advance. Committee members had the opportunity to provide verbal input during meetings and were encouraged to submit written comments, which many did.

After eliminating items universally believed to be ineffective or inoperable, the Committee reviewed the remaining content and identified topics to be included in a survey sent to members of NCIA, which is comprised of cannabis and ancillary businesses. The complete survey was sent to NCIA's membership via email on May 9, 2016 and responses were accepted through May 19th. A total of 178 individuals participated, with 121 of the participants completing the entire survey. The survey findings are referenced throughout this white paper as well as in an in-depth report provided in the Appendix.

The survey findings for each packaging or labeling provision under consideration were

<sup>&</sup>lt;sup>3</sup> Loosely based on the Organisation for Economic Co-operation and Development's (OECD) conceptualization of good regulation, as described in the OECD Guiding Principles for Regulatory Quality and Performance. Retrieved from: <a href="https://www.oecd.org/fr/reformereg/34976533.pdf">https://www.oecd.org/fr/reformereg/34976533.pdf</a>

summarized and circulated to the Committee in advance of an in-person meeting at the NCIA Cannabis Business Summit on June 21, 2016. During this meeting, the Committee reviewed the survey findings and finalized recommendations for several topics where the survey and initial Committee positions did not create consensus. The CRCR team then spent months reviewing hundreds of pages of meeting notes, written comments, and survey findings, conducting additional research, and examining external resources to synthesize the information collected into a draft white paper. After a draft was circulated and Committee feedback was reviewed, CRCR finalized this white paper with the intent to present its findings to interested policy makers.

#### White Paper Overview

This white paper provides a complete set of regulatory recommendations for cannabis packaging and labeling developed by a multi-disciplinary stakeholder Committee. The paper is structured so that each recommendation is followed by a discussion of the logical basis for that position, including support from the survey, federal legal research, and in-depth policy discussions, as well as model regulatory language intended for use by lawmakers and regulators in legal cannabis states.

Following a definition section, the paper provides recommendations for cannabis labeling regulations, recommendations for cannabis packaging regulations, and finally an appendix containing additional discussion and survey findings.

Disclaimers: The views, opinions, findings, and recommendations expressed in this paper do not necessarily reflect the views or opinions of any individual who contributed to its development. Rather, the positions put forth in this paper emerged from a consensus-driven process and therefore reflect the views and opinions of the majority of participants as interpreted and elaborated by cannabis law and policy experts at CRCR. CRCR and the individual members of the National Cannabis Packaging and Labeling Standards Committee take no responsibility for any errors or omissions in the information contained in this paper. The regulatory recommendations contained in this paper are intended to apply to finished cannabis products packaged and labeled for sale to a consumer that were produced by state-licensed, registered, or otherwise approved commercial cannabis businesses that operate within a comprehensive state regulatory framework for commercial cannabis activity. The packaging and labeling regulations and recommendations presented in this paper are not intended to apply to industrial hemp producers, industrial hemp products produced by industrial hemp producers, or products created therefrom by any entity other than a state-licensed or otherwise authorized commercial cannabis business. The packaging and labeling regulations and recommendations presented in this paper are exclusively applicable to cannabis products packaged and labeled for sale and should not be interpreted to apply to in-process cannabis products, cannabis products in shipping containers, or laboratory samples of cannabis products. In non-vertically integrated environments, critical labeling information may need to be shared with producers and retailers; regulators can either impose specific labeling requirements on shipping containers as implemented in Colorado, or allow businesses to resolve this issue amongst themselves.

#### CANNABIS LABELING REGULATORY RECOMMENDATIONS

### **Recommendation 1: Font and type size**

All required labeling information shall be in any legible font that is at least 1/16th of an inch in height based on the lower case letter "o".

This recommendation is based on a basic FDA requirement for the principal display panel of a variety of products, including packaged food products. FDA regulations are quite specific about the size and appearance of text on packages and labels, but we believe the most critical appearance-related item for cannabis products at present is preventing use of a font that is illegible or so small that required label information is difficult to read for normal adults.

# **Recommendation 2: Common or usual name of the product**

Common or usual name of the product in boldface type on all cannabis product labels. "Cannabis" should be included.

Under FDA regulation, a statement of identity is required on the labeling of all food products, botanical supplements, over-the-counter medicines, and prescription drugs. The common or usual name of a food or supplement product serves as the statement of identity if a product's name is not established in federal law or regulation. In the absence of federally established cannabis product names, we recommend requiring that common or usual name be included in cannabis product labeling. Just as dietary supplements must have the term "dietary supplement" or a similar term on their labeling, we recommend requiring that cannabis product labeling include the term "Cannabis."

Policymakers may leave the meaning of "common or usual name" open to licensee interpretation or establish guidelines for proper product identification. At present, most states that regulate cannabis do not have guidelines in place for proper cannabis product

<sup>&</sup>lt;sup>4</sup> 21 C.F.R. § 101.2(c)

<sup>&</sup>lt;sup>5</sup> 21 C.F.R. § 101.3(a)

<sup>6</sup> ihid

<sup>&</sup>lt;sup>7</sup> 21 C.F.R. § 201.61(a)

<sup>8 21</sup> C.F.R. § 201.50(a)

<sup>&</sup>lt;sup>9</sup> 21 C.F.R. § 101.3(b)

<sup>&</sup>lt;sup>10</sup> 21 C.F.R. § 101.3(g)

identification, but we see no harm in advancing consistent terminology. Guidelines may limit the labeled name of cannabis products to their generic product category, such as "Cannabis Concentrate" or "Ingestible Cannabis-Infused Product"; a term describing the product type, such as "Cannabis Wax" or "Cannabis Transdermal Patch"; or some combination of both, such as "Cannabis-Infused Carbonated Beverage" or "Cannabis Concentrate: Shatter."

When guidelines for common or usual name are written into regulation, regulators should keep in mind that cultivators are likely to include strain (e.g., blue dream) and species (e.g. sativa, indica, hybrid) voluntarily to differentiate their products as these factors drive many consumers' purchase decisions. Furthermore, the same strain may vary greatly across licensees for a variety of reasons and there is no way to police strain name usage at present. As such, strain and species labeling could be promoted as a voluntary best practice but should not be required by regulation.

#### **Recommendation 3: Licensee name and phone number or email address**

Name and business phone number or email address of the licensee that produced or dispensed the finished product for the purpose of receiving product complaints and inquiries.

Members of the Committee originally disagreed on how licensee information should be provided and whether it should be required at all. Some Committee members believed licensee name and contact information should be mandatory on all product labels, while others recommended mandatory inclusion of a web address where contact information is made available. There was also a group that believed licensee information is unnecessary on product labeling because manufacturers and dispensaries often use branded packaging.

We ultimately chose to recommend mandating that all cannabis product labels include the name and business phone number or email address of the licensee that produced the finished product or dispensed it to a consumer for two reasons.

First, federal law mandates that a business name and address be placed on labeling for packaged food and dietary supplements, tobacco products, drugs, cosmetics, and alcoholic beverages. As previously noted, one of our primary goals is federal alignment wherever possible. The major difference between what we are proposing and what is

<sup>&</sup>lt;sup>11</sup> Food and Dietary Supplements: 21 C.F.R. § 101.5; Tobacco products: 21 U.S.C. § 387c(a)(2); Drugs: 21 C.F.R. § 201.1; Cosmetics: 21 C.F.R. § 701.12; Distilled Spirits: 27 C.F.R. § 5.36

standard in federal regulation is that we substituted business phone number or email address for business address because publicizing cultivation and manufacturing site addresses presents unnecessary security risks.<sup>12</sup>

Second, readily available licensee contact information will make it easier for cannabis consumers to make product-related inquiries and complaints. Consumers won't have access to the electronic tracking systems used by licensees and regulators, so a license number on a product label alone does not provide the information needed for consumers to promptly inform licensees of product concerns. It is clearly inappropriate for consumers to contact federal agencies about a federally illicit product and the state and local bodies that handle product-related issues are often limited in scope and resources, so we believe providing a phone number or email address for consumers to directly contact the producer or retailer is the best option. Cannabis regulators can control licensee response to consumer contact by establishing rules for complaint recordkeeping, investigation, and reporting.

# **Recommendation 4: Net quantity of contents**

Net quantity of contents on all cannabis product labels:

Stated in both U.S. customary and Metric (SI) units;

Expressed as fluid measure if the product is a liquid; and

Expressed as weight if the product is solid, semi-solid, or viscous.

Net quantity of contents represents the total weight or volume of a finished product excluding packaging and is federally mandated on labels for food and dietary supplements, drugs, and alcohol. Under FDA regulations, the net quantity of contents for a given product is its net weight if the product is solid, semi-solid, or viscous, or its net contents if the product is a liquid. As such, "net quantity of contents" or "net contents" better captures appropriate measurement for a range of products than "net weight," which is commonly used in cannabis regulations at present.

<sup>&</sup>lt;sup>12</sup> A member of the Committee suggested an alternative to the recommendation presented in this paper in the interest of aligning completely with federal requirements for other products. Under this alternative scheme, business address could be required on all cannabis product labels but regulations would specifically authorize the use of P.O. Box addresses to give licensees a way to limit risk associated with address disclosure.

<sup>&</sup>lt;sup>13</sup> Food and Dietary Supplements: 21 C.F.R. § 101.105(a); Prescription Drugs: 21 C.F.R. § 201.51(a); Over-The-Counter Drugs: 21 C.F.R. § 201.62(a); Wine: 27 C.F.R. § 4.32(b)(2); Distilled Spirits: 27 C.F.R. § 5.32(a)(4); Malt Beverages: 27 C.F.R. § 7.22(a)(4)

The net quantity of contents for packaged solid, semi-solid, and viscous cannabis products should be expressed in dry weight, and proceeded by the phrase "Net Weight," the abbreviation "Nt. Wt." or simply "Net." For all packaged liquid cannabis products, net quantity of contents should be expressed in fluid measure and preceded by "Net Contents" or "Net." FDA regulations authorize net quantity of contents to be expressed in weight, fluid measure, or a combination of count and weight or measure [e.g., Net Weight: 2 oz. (56.7 g) (10 cookies)] and we see no issue with allowing the same for multi-unit cannabis products. <sup>14</sup>

Cannabis products are sometimes measured in U.S. Customary Units (e.g. ounce, fluid ounce) and other times in the International System of Units ("SI Units," e.g., gram, milliliter). The Fair Packaging and Labeling Act (FPLA), as amended in 1992, requires use of both, so we recommend requiring that net quantity of contents be displayed in both U.S. Customary Units and SI Units. For example, net contents for a cannabis-infused beverage should be stated in both fluid ounces and milliliters, while net weight for flower should be stated in grams and avoirdupois ounces or pounds.

#### Recommendation 5: License number and batch or lot code

Require all cannabis product labels to include the license number of the cultivator or manufacturer who produced the finished product and the product batch or lot code, for tracking purposes.

Identification of cannabis products is a critical component of product tracking. At present, legal cannabis states lack uniform requirements for cannabis product identifiers, so the codes licensees use to identify products vary from state to state and sometimes across licensees in the same state. This patchwork has the potential to create significant issues in the future when federal law permits cannabis to be lawfully introduced into interstate commerce; thus, it is important to begin advancing industry-wide consistency now.

The Committee and industry participants who completed our survey overwhelmingly supported development of an industry-wide standardized format for product identifiers. Participants generally agreed that requiring multiple identifying codes (e.g., all lots of cannabis used to produce a lot of concentrate) is a waste of limited label space. This information is not useful to consumers and is not needed in printed form because the information is typically available electronically through a seed-to-sale tracking system. There was broad support for a more efficient alternative: a single identifying code,

-

<sup>&</sup>lt;sup>14</sup> 21 C.F.R. § 101.7(a)

comprised of a distinctive combination of letters and numbers, that provides electronic access to the complete history of the production and distribution of the batch or lot. However, cannabis businesses would need to utilize interoperable traceability systems in order to share data electronically through identifying codes. Industry-wide identification standards would also be necessary, as they are the common language that would make interoperability possible.

The Committee considered various existing and proposed standard identification models, including a reworking of the National Drug Code, but was unable to reach consensus on format and content. The group identified four potential key components of a cannabis product identifying code — licensee, product type, packaging lot, and production lot/batch — but not all participants felt all four components were necessary. There were further disagreements about the number of digits necessary to uniquely identify each batch or lot of finished cannabis product due to the fact that the cannabis industry is growing rapidly and still faces many unknowns as a young industry subject to conflicting federal and state laws.

Until an industry-wide solution can be reached, we recommend that state regulations require that all cannabis product sold at retail bear labeling that includes the state license or registration number of the cultivator or manufacturer who produced the finished product and a number or code identifying the lot or batch. The license or registration number will be state-issued, but the lot or batch code may be assigned by the manufacturer or cultivator in accordance with internal policies and procedures.

More research is needed to support identification of an appropriate industry-wide identification standard. Cannabis businesses and subject-matter experts, such as existing standards development organizations and companies that assign universal identifying codes, should work together to ensure technical and practical matters are contemplated in the ultimate design. At the time of writing, the authors are in early discussions with an international leader in trade item identification about potential collaboration with the cannabis industry on this issue.

Finally, we are in favor of requiring each cannabis product sold at retail to bear a barcode that is both human- and machine-readable. However, we recommend waiting to require a barcode until after an industry-wide standard for identification is developed because the code specifications should drive barcode format selection.

# **Recommendation 6: Ingredients list**

Require ingredients listed by common or usual name in descending order of predominance by weight on the label for all cannabis-infused products and concentrates containing at least one ingredient not derived from cannabis.

FDA regulations require an ingredients disclosure on labeling for packaged foods, dietary supplements, drugs, and cosmetics. The Committee's general position is that cannabis labeling regulations should require listing of all ingredients, including cannabis, regardless of product type. Modeling after FDA regulations, we support exempting substances that are present in a product at insignificant levels and do not have any technical or functional effect from ingredient labeling. For cannabis, this means processing solvents and cultivation inputs would not be considered ingredients if mandatory pesticide residue, foreign matter, and residual solvent tests confirm the amounts present do not exceed levels considered significant by the regulating state. With this exception, ingredient labeling would not be appropriate for cannabis flower and concentrates containing only substances naturally occurring in cannabis and therefore should not be required for these products.

When an ingredients list is required, cannabis should be included in that list and the part of the cannabis plant or the form (e.g., flower, trim, concentrate) should be identified in parentheses following the word "cannabis." This is in accordance with FDA requirements for identification of botanical ingredients.<sup>19</sup>

We recommend requiring ingredients listed by common or usual name in descending order of predominance by weight on the label for ingestible infused products, non-ingestible infused products, and concentrates containing at least one ingredient not derived from cannabis. These requirements are similar to those for FDA-regulated food products and dietary supplements. The survey population and Committee strongly support ingredient labeling for these product types, except that the survey population was not polled about an ingredients list for concentrates because the subject was not considered until after survey circulation.

<sup>15</sup> Food and dietary supplements - 21 C.F.R. § 101.4

<sup>&</sup>lt;sup>16</sup> 21 C.F.R. § 201.10

<sup>&</sup>lt;sup>17</sup> 21 C.F.R. § 701.3

<sup>18 21</sup> C.F.R. § 101.100(a)(3)

<sup>&</sup>lt;sup>19</sup> 21 C.F.R. § 101.4(h)(1)

# **Recommendation 7: Allergen labeling**

Require labeling of major food allergens for all ingestible infused products and concentrates that are intended to be cooked with, eaten, or otherwise swallowed and digested (i.e., Activated Concentrates).

We recommend mandating major allergen labeling in accordance with Section 203(a)(1)-(4) of the Food Allergen Labeling and Consumer Protection Act of 2004<sup>20</sup> (FALCPA) for all retail packages of ingestible cannabis-infused products and cannabis concentrates that are intended to be taken orally, including concentrates that may be used in cooking. Cannabis flower, non-ingestible infused products, and concentrates intended to be smoked or vaporized only should not be subject to this requirement, just as food allergen labeling is not required for raw agricultural commodities, cosmetics, and e-cigarettes.

This would mean requiring that ingestible infused product and concentrate labels (as applicable) declare the presence of major food allergens in plain language. The major food allergens are milk, eggs, fish, Crustacean shellfish, tree nuts, peanuts, wheat, and soybeans and any ingredient containing a protein derived from these foods. The specific type of tree nut (e.g., walnuts, pecans, almonds), Crustacean shellfish (e.g., lobster, shrimp, crab), and fish (e.g., salmon, flounder, cod) must be declared in allergen labeling. Cannabis products that contain at least one major allergen may be labeled to comply in two ways. The first is to include "Contains" and a list of all major food allergens immediately after or adjacent to the ingredients list. For example, "Contains Milk, Wheat, Egg, and Walnuts." The second is to place the name of the major allergen in parentheses after the common or usual name of the ingredient that is derived from or contains the major allergen in the ingredients list. For example, "Ingredients: Flour (Wheat), Water, Albumin (Egg)..." and so forth.

<sup>&</sup>lt;sup>20</sup> Pub. L. 108-282, Title II

<sup>&</sup>lt;sup>21</sup> 21 U.S.C. 321(qq)

#### **Recommendation 8: Nutrition facts**

Require Nutrition Facts on labels for edible cannabis-infused products and concentrates that are intended to be taken orally.

The "Nutrition Facts" panel is a common labeling item that contains the quantitative amount per serving (or, as suggested in this section, per unit or container) and percent Daily Value of calories, fat, cholesterol, sodium, carbohydrates, protein, and certain vitamins in a packaged food product.<sup>22</sup> As a result of detailed federal regulations, the appearance and content is consistent across states and now recognizable to most, if not all, U.S. adults.

This familiar labeling item has made its way into cannabis regulation, but is applied inconsistently. Depending on the state in which a cannabis product is produced, nutritional labeling may not be required, may be required only for products in food or drink form, or may be required for all infused products notwithstanding ingestion method. Regardless, more than three-quarters of our geographically diverse survey population thought nutrition facts should be mandatory for ingestible cannabis products. A subsequent Committee review of federal nutrition labeling laws revealed that, if ingestible cannabis products were treated like equivalent federally-regulated products not containing cannabis, only ingestible products in food or beverage form (i.e., edible cannabis-infused products) would have to include Nutrition Facts on their labels. This makes sense given the lack of nutritional value, both in terms of quantity and availability to the body, of cannabis-infused tablets, suppositories, tinctures, and the remaining ingestible products that are not in edible form.

In line with the federal approach, we recommend requiring that each edible cannabis-infused product label include a nutrition facts panel with the content and format specified in 21 C.F.R. § 101.9(c) and (d), except that manufacturer-specified unit (more information here) should be substituted for serving size. We suggest requiring the same for cannabis concentrates that are intended to be taken orally, including concentrates like cannabis-infused butter that are intended for use in cooking, to align with federal nutrition labeling requirements for cooking fats. The appearance and content of a Nutrition Facts panel on cannabis product labeling should be consistent with FDA standards, except that manufacturer-specified unit should replace serving size. Manufacturer-specified unit is more thoroughly addressed in the next section, but in brief, the purpose of this substitution is to avoid using "serving size" to mean a state-imposed THC cap for single-use edible products instead of the established federal meaning.

 $<sup>^{\</sup>rm 22}$  21 C.F.R. § 101.9; see 21 C.F.R. § 101.9(c) for specific information about each nutrient

# **Recommendation 9: Cannabis facts panel**

Require a Cannabis Facts (Potency) panel on all cannabis product labels that includes:

The percentage concentration of Effective THC and all other marketed cannabinoids weight by weight if the product is flower;

The percentage concentration of Effective THC and all other marketed cannabinoids weight by weight or weight by volume if the product is a non-activated concentrate;

The milligram content of Active THC and all other marketed cannabinoids per manufacturer-specified unit if the product is an adult-use activated concentrate, edible infused product, transmucosal infused product, or transdermal infused product; or

The milligram content of Active THC and all other marketed cannabinoids per container if the product is an adult-use topical infused product, a medical infused product, or a medical activated concentrate.

Potency labeling is critical for cannabis consumer safety, just as active ingredient content is for prescriptions and proof is for alcoholic beverages. State medical and adult-use cannabis regulations generally require potency labeling but specific requirements vary substantially. Some states require potency of  $\Delta^9$ -tetrahydrocannabinol ("THC") only, while others require a full cannabinoid and terpene profile. Some states vary potency labeling requirements by product type and others do not.

Our goals in formulating a recommended standard for potency labeling are: the elimination of impertinent information while presenting meaningful information effectively, aligning with federal requirements for other products when possible, and providing a model appropriate for uniform adoption across states.

#### Effective THC vs. Active THC

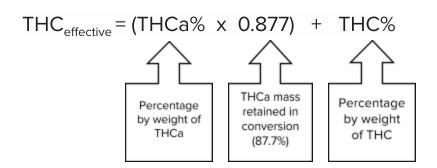
Of the more than 100 cannabinoids scientists have isolated in cannabis, researchers have insight into the effects of only a handful. THC is the most well-studied, largely because it is the primary psychoactive component of cannabis.  $^{23}$  Because of its implications for consumer and public safety, the Committee and a majority (64.6%) of the

<sup>&</sup>lt;sup>23</sup> The totality of available evidence indicates that THC is the only cannabinoid with substantial psychoactive effects, though it is also recognized that other cannabinoids in combination with THC produce unique effects ("the entourage effect") and can enhance or lessen the mind-altering effects of THC. The available evidence also indicates that THCa is non-psychoactive when eaten. More research is needed concerning the psychoactive potential of cannabinoids other than THC under various conditions and when consumed in different ways. If other psychoactive potential is uncovered, regulations should be adjusted as needed for the protection of public safety.

survey population agreed that THC potency labeling should be mandatory.

THC potency must be addressed differently for activated and non-activated products. In general, cannabis flower and non-activated concentrates contain small amounts of THC but contain larger amounts of  $\Delta^9$ -Tetrahydrocannabinolic acid ("THCa"). THCa is a non-psychoactive compound that is converted to psychoactive THC through decarboxylation. When these products are smoked or vaporized, the exposure to heat causes rapid decarboxylation and converts the THCa to THC that is then absorbed through the lungs into the bloodstream to produce a psychoactive effect.

THCa loses mass during conversion to THC, so simply adding THCa and THC values yields an inaccurate estimate of total effective THC content for a non-activated product. The difference in molecular mass must be accounted for. Following the approach taken in Nevada, we suggest that flower and non-activated concentrate labels be required to include the percentage concentration weight by weight or weight by volume of Effective THC, which should be calculated by the laboratory that conducted potency testing according to the following formula:



In contrast, most psychoactive cannabis products that are not smoked or vaporized are decarboxylated during the manufacturing process and typically contain very little THCa but more active THC when packaged for retail. These activated cannabis products may be consumed in a variety of ways, including orally, transdermally, and sublingually. However, these consumption methods don't result in conversion of the remaining THCa to THC, so the THCa does not yield psychoactive effect and is therefore irrelevant for potency labeling purposes.

 $\underline{http://dpbh.nv.gov/uploadedFiles/dpbhnvgov/content/Req/MedMarijuana/Adopted\%20Reqs\%20LCB\%20File\%20R148-15.pdf$ 

<sup>&</sup>lt;sup>24</sup> Here, we are referring to concentrates produced by low-heat methods, like ice water extraction and CO<sub>2</sub> extraction, that are not decarboxylated by placement in a heated oven or any other method prior to retail packaging.

<sup>&</sup>lt;sup>25</sup> Nevada Department of Health and Human Services. Adopted Regulation for the Medical Use of Marijuana, Sec. 8, pp. 4. LCB File No. R148-15. August 29, 2016. Retrieved from:

Effective THC content or concentration would not accurately represent the psychoactive potential of cannabis-infused products and activated concentrates and should not be used in potency labeling for these products. Instead, we recommend that labels for topicals, edibles, transmucosals, transdermals, and activated concentrates intended to be taken orally or cooked with include the milligram content of THC, referred to as "Active THC."

#### Voluntary Potency Labeling: All Other Marketed Cannabinoids and Terpenes

We do not recommend requiring cannabis product labels to display the potency of any cannabinoid other than THC/THCa. Though cannabidiol (CBD), cannabigerol (CBG), cannabinol (CBN), and others have therapeutic uses and can moderate the effects of THC, they are present in miniscule amounts in raw cannabis and are non-psychoactive. The content of a non-psychoactive cannabinoid in each product does not provide meaningful information from a safety standpoint and therefore should be disclosed at the licensee's discretion. Terpene content should also be disclosed voluntarily for the same reason. This topic can be revisited when new research regarding the role of terpenes and lesser known cannabinoids becomes available.

A licensee that elects to make a claim about the content of any cannabinoid other than THC ("all other marketed cannabinoids") or any terpene should be required to provide potency information for that cannabinoid in a manner that is substantially similar to mandatory potency labeling.

#### Potency Per Manufacturer-Specified Unit

Adult-use edibles, transdermals, transmucosals, and activated concentrates packaged and labeled for sale to a consumer should be required to display milligrams of Active THC *per manufacturer-specified unit* ("MSU"). An MSU is a quantity of the product that the manufacturer recommends for ingestion by an adult on a single occasion provided that it contains no more Active THC than the state-imposed per-unit maximum (see <u>the Appendix</u> for a discussion). This model provides state regulatory control over the potency

<sup>&</sup>lt;sup>26</sup> We decided Effective THC was not appropriate for activated concentrates because, as a general rule of thumb, activated concentrates are decarboxylated because they are intended to be taken orally or used in cooking and therefore the THCa must be converted to THC to yield psychoactive effects. This won't be true in every case, so regulators may consider requiring Effective THC for concentrates that are intended to be smoked or vaporized and Active THC for those that are intended to be taken orally. Percentage weight by weight (or weight by volume, as appropriate) is the common potency measurement for products that are smoked or vaporized while milligram content is more common for ingestible products, so we attempted to remain consistent with these general rules.

of single-unit cannabis-infused product and activated concentrates as well as per container.

An adult-use edible, transdermal, transmucosal, or activated concentrate that is intended for ingestion at one time and does not exceed the per-unit THC cap is a single-unit product and should be labeled with the total milligrams of Active THC in the product (i.e., the entire product = one MSU). However, if the product is not to be eaten in one sitting or it exceeds the state-imposed per-unit THC limit, it is considered a multi-unit product. A multi-unit product (i.e., adult-use multi-unit edibles, transmucosals, transdermals, and activated concentrates) packaged for retail must display milligram content of Active THC per MSU as well as the *total number of units per container*. The term "unit" should not be used in product labeling but should be replaced by an appropriate descriptive term selected by the manufacturer. The consumer should be able to easily identify a single-unit portion and understand the quantity of Active THC in that portion.

#### Potency Per Container or By Weight/Volume

MSU-based potency labeling and per-unit THC limits are not applicable for the remaining product types. Topicals are non-psychoactive and should not be subject to potency caps. The labeling on a medical or adult-use topical product packaged for retail sale should include the total milligrams of Active THC per container. A descriptive term for the container may be used. If the manufacturer wishes to recommend a specific portion of the product per use, the manufacturer may include directions for use on the labeling.

Cannabis flower has nearly immediate effects when smoked or vaporized which makes dose titration easier for consumers. For these reasons and others, flower is not a high-risk product for accidental over-ingestion. The labeling on flower dispensed to a consumer should state the actual percentage of Effective THC weight by weight. Non-activated concentrates are not subject to MSU-based labeling for largely the same reasons as flower. Their labels should include the actual percentage concentration of Effective THC weight by weight or weight by volume.

Medical cannabis patients should have access to higher potency products and should be exempt from any potency caps. Medical cannabis product packaged and labeled for sale to a patient should display potency per container.

<sup>&</sup>lt;sup>27</sup> Unit descriptive term examples: "one cookie" in a bag of infused cookies, "1/2 cup" in a bag of infused granola, "one fluid ounce (29.57 ml)" of a 12 fluid ounce cannabis-infused beverage packaged with a one-unit measuring device, "one square" in a chocolate bar with eight single-unit squares.

#### Acceptable Variance

We recommend that each state establish an acceptable variance for labeled potency of plus or minus ten percent, which is the amount of variance generally allowed by the U.S. Pharmacopeia unless otherwise stated in a drug monograph. This is helpful for infused product manufacturers in particular, as they can order product packaging with target potency printed on the packaging itself instead of having to individually label products with the actual potency value for the batch or lot, provided that the labeled potency is within +/-10% of the batch or lot potency result.

Because the potency of cannabis flower can vary substantially based on which part of the plant was sampled, we recommend that testing laboratories be required to take at least three samples from a batch/lot of cannabis flower and to report potency results for the batch/lot as an average of the samples' potency values. The labeled potency value would then have to be within +/-10% of the average potency of the test samples taken from that batch/lot.

In addition to the potency values required as part of the Cannabis Facts panel, a manufacturer may choose to include a target potency value on the front or another visible area of the retail product packaging to increase visibility to consumers. The Committee believes this is very important and plans to include this as a recommended industry best practice in a forthcoming paper.

#### **Format**

In terms of format, we believe that cannabis potency information can be presented effectively in a manner similar to an active ingredients or "Drug Facts" panel.<sup>28</sup> In the Model Regulations, we provide numerous visual examples of a proposed standard format for cannabis potency information, which we refer to as "Cannabis Facts." The format and content requirements are modeled after those for Drug Facts on over-the-counter drug retail packages,<sup>29</sup> with some cannabis-specific modifications. Rather than specify the appearance of the Cannabis Facts panel, it is preferred to address the content in regulation and simply provide a minimum of one visual sample per product category.

<sup>&</sup>lt;sup>28</sup> 21 C.F.R. §201.66(c)(2)

<sup>&</sup>lt;sup>29</sup> 21 C.F.R. Part 201, Appendix A- "Examples of Graphic Enhancements Used by FDA." Accessed January 30, 2017 from: <a href="http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfCFR/CFRSearch.cfm?an=21:4.0.1.1.2.71.25.1">http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfCFR/CFRSearch.cfm?an=21:4.0.1.1.2.71.25.1</a>

#### **Recommendation 10: Contaminant testing statement**

Require a generic statement of compliance with mandatory contaminant testing on all cannabis product labels.

Members of the committee and survey respondents showed strong support for mandatory contaminant testing, which involves assessment of pesticide residues, harmful chemicals, residual solvents, microbials, mold, filth, toxins, and other substances that are unsafe for human consumption. The Committee further agreed that certain states' specifically required contaminant statements can create confusion for consumers unfamiliar with the specific regulatory requirements and complications for business operations by reducing label consistency.

For example, the Illinois Department of Agriculture requires that all medical cannabis products be labeled with "a pass/fail rating based on the laboratory's microbiological, mycotoxins, and pesticide and solvent residue analyses." Seeing a list of contaminants on a product label, including one containing the word "toxin," surely has negative implications for consumers and may even cause alarm. In addition, the pass/fail ratings for each contaminant test do not convey useful safety information because the product cannot be dispensed unless it passes the state's testing standards. We are not aware of any other consumer product that must contain statements about contaminant testing on the product itself, much less a list of contaminants that are *not* present. Consumers trust government agencies to ensure that all other products undergo appropriate testing for safety and all modern cannabis regulatory structures provide for significant consumer safety testing. As such, it seems inappropriate to require a separate and new standard for cannabis.

For these reasons, it may be best to eliminate contaminant testing statements from product labels altogether, but until every state with a cannabis program requires contaminant testing, we believe that a statement about testing still holds value for consumers. The Committee and those surveyed agreed that only a generic statement of compliance with mandatory contaminant testing should be required. We ultimately recommend that each state require the following language or something substantially similar: "This product complies with state contaminant testing rules." Note that this statement does not specify that the product itself has been tested. This statement is designed to allow for maximum flexibility for states to adopt their own testing programs. Process validation occurs in many other tested industries and cannabis product labels

<sup>&</sup>lt;sup>30</sup> 8 III. Admin. Code 1000.420(d)(7)

should not be required to state a product was not tested if it ultimately meets state safety standards under a process validation scheme.

#### **Recommendation 11: Universal symbol**

Require a universal symbol that indicates the presence of THC in a cannabis product on the packaging or labeling of all finished cannabis products with a labeled potency value of at least 0.3% Effective THC or 1 milligram of Active THC per container or, if applicable, per manufacturer-specified unit.

In recent years, legal cannabis states like Colorado, Oregon, and Washington have begun requiring a "universal symbol" on cannabis packages and sometimes the actual products as well. A universal symbol is a visual warning to consumers that the product contains cannabis or THC. The Committee supports efforts to make cannabis products easily identifiable and is in favor of regulations requiring cannabis products packaged and labeled for sale to a consumer to clearly display a universal symbol, provided that the labeled potency of the product is least 0.3% Effective THC weight by weight or weight by volume or 1 milligram of Active THC per container or per MSU, as appropriate. We have excluded products with THC content below the specified thresholds because such a small quantity of THC will have little to no noticeable effect on the average consumer, so the universal symbol was deemed unnecessary. Committee members appreciated the underlying intent, but concluded that stamping edible products themselves with a universal symbol, as is required now in Colorado, in addition to placement on the product package was unlikely to result in public safety benefits that justify the additional manufacturing and regulatory enforcement cost.

The Committee expressed concern that there is no true universal symbol for cannabis products at present because each state that requires a universal symbol has come up with a distinctive design. This may limit the intuitiveness, and therefore effectiveness, of the universal symbol. With these issues in mind, we elected to independently develop a universal symbol in hopes that divorcing the design from a particular state will encourage universal adoption.

We surveyed individuals involved in the legal cannabis business to gauge support for an industry-wide universal symbol and collect suggestions for a more intuitive design. More than three-quarters (78%) of survey respondents (n=130) supported an industry-wide universal symbol and most participants (68%) felt there should be a single universal symbol for both medical and adult-use products, as opposed to a distinct universal

symbol for each.

Fifty-four participants provided qualitative feedback regarding universal symbol design. The most common symbol was a cannabis leaf, which was mentioned in 23 out of 54 responses (42.59%). In addition, 31.48% of responses (17 total) included proposed letters or text; the most common were "THC" and "Contains Cannabis." The full text of responses and their categorization is provided in the Appendix.

Taken together, the findings suggest that most individuals working in the cannabis space would consider a cannabis leaf and "THC" enclosed in a triangle to be a reasonable and intuitive universal symbol. We have provided a model industry-wide universal symbol below, as well as a few universal symbols currently in use for comparison. In sum, we recommend that each legal cannabis state require the industry-wide universal symbol provided below on the retail packaging or labeling of every cannabis product that contains at least 0.3% Effective THC weight by weight or weight by volume or that contains at least 1 milligram of Active THC per container or, if applicable, per manufacturer-specified unit.

Recommended Industry-Wide Universal Symbol (Large and Small Format):31





#### **Existing Universal Symbols:**









Colorado Adult-Use Medical

<sup>&</sup>lt;sup>31</sup> Universal Symbol Design by: Rachel Matagora, Senior Designer at Hatchbytes <u>www.hatchbytes.com</u> | rachel@hatchbytes.com

<sup>&</sup>lt;sup>32</sup> This image is actually a symbol developed by the Washington Poison Center to identify products that are not intended for children. The Washington State Liquor Control Board plans to include this symbol in upcoming draft cannabis regulations as described in a press release retrieved here: <a href="http://lcb.wa.qov/pressreleases/washington-poison-center-unveils-warning-symbol">http://lcb.wa.qov/pressreleases/washington-poison-center-unveils-warning-symbol</a>

#### **Recommendation 12: Warning statements**

Require the following warning statements on labels for the specified product types:

For all cannabis products:

"KEEP OUT OF REACH OF CHILDREN AND PETS," and

"This product may be unlawful outside of the State of [insert state]."

For all adult-use cannabis products:

"For use only by adults twenty-one and older."

For all medical cannabis products:

"For medical use only."

For psychoactive cannabis products:

"This product may have intoxicating effects. Do not drive or operate heavy machinery while under the influence of cannabis."

For all ingestible infused products and activated concentrates intended to be cooked with, eaten, or otherwise swallowed and digested:

"Activation times vary but may be up to two (2) hours when this product is eaten or swallowed," or an alternative statement supported by data from an activation time study and approved by the Department.

Warning statements are required for many common consumer products, including alcohol and prescription drugs, and many states have similarly adopted mandatory warning statements for cannabis products. State-mandated cannabis warnings vary substantially, so the Committee considered a broad range of subjects and wording options before settling on the warnings recommended here. We also included 14 warning options in the survey to assess support among cannabis industry and ancillary business people from different states, and have included the complete findings in the Appendix.

"KEEP OUT OF REACH OF CHILDREN AND PETS" and "This product may be unlawful outside of the State of [insert state]" are recommended warning statements for all cannabis products. Many states require "Keep out of reach of children," but the Committee included pets in response to stories of pets consuming cannabis and becoming ill. "This product may be unlawful outside of the State of [insert state]" is intended to deter consumers from unlawfully transporting cannabis products across state

lines, which is particularly important in states allowing adult use because tourists may not realize that taking cannabis products home is unlawful. Furthermore, it demonstrates that a state is taking steps to prevent state-legal cannabis from crossing its boundaries.

"For use only by adults twenty-one and older" is recommended for all cannabis products intended for adult use. This simple but informative warning is already being used in adult-use cannabis states like Washington. "For medical use only" is a recommended warning for all medical cannabis product labels. Colorado, Nevada, Illinois, and many other medical cannabis states require something similar, but often add "by a qualifying patient" or some other patient reference. We have eliminated that extra language because the term for medical cannabis patient varies from state-to-state and our intention is to offer warnings that can be used nationwide.

We recommend that all psychoactive cannabis products contain the following warning: "This product may have intoxicating effects. Do not drive or operate heavy machinery while under the influence of cannabis." Some states currently require all cannabis products, or specific types of cannabis products, to carry a warning about their intoxicating potential. Unfortunately, these warnings often end up on non-psychoactive products, like topicals, where they mislead consumers. As such, we encourage states to distinguish between psychoactive and non-psychoactive products as we have in our model definitions and mandate the recommended warning for psychoactive products only. The portion of the warning concerning driving and operating machinery is modeled after mandatory label language for alcohol and certain medications.

"Activation times vary but may be up to two (2) hours when this product is eaten or swallowed" is a recommended warning for all ingestible cannabis-infused products and activated concentrates that are intended to be eaten or swallowed. The warning is intended to help reduce accidental overconsumption due to a lack of consumer awareness of the delayed onset of cannabis products taken orally. Consumer education is essential to truly address this issue and the recommended warning can be an effective measure in educating consumers about the risks associated with consuming additional doses before the first dose becomes effective. Though this language is intended to be sufficiently broad to cover all ingestible product types, there will likely be a marked difference in activation time when comparing transmucosal and edible products because transmucosal products do not have to be digested before entering the bloodstream. Because we lack sufficient evidence to propose an alternative statement for transmucosal products, we suggest that manufacturers be allowed to request Department approval to use an alternative statement that is supported by data collected

in a product-specific activation time study. The Department could establish standards for such studies to ensure consistency in alternative statement evaluation.

A warning concerning health risks for women who are pregnant, breastfeeding, or planning on becoming pregnant is not recommended at present, but could be important in the future. At present, there is insufficient information as to the effects of cannabis use on pregnant women, fertility, or breast milk, so any warning addressing these areas is speculative at best. States should monitor relevant studies and add an appropriate warning if it becomes apparent that the body of available evidence suggests significant potential for harm to babies.

It may also be important to include "Do Not Eat" on the labels of all products not intended to be taken orally in order to clearly distinguish products subject to certain food-related Health Department regulations from those that are not. Including this warning on products not intended for oral consumption is a recommended best practice, but can be included in regulation as well if needed to prevent the unnecessary application of local and state food safety regulations to inedible products.

# **Recommendation 13: Prohibit untruthful or misleading statements**

Prohibit untruthful or misleading statements in cannabis product labeling, including health claims. Require licensees to maintain substantiation that each label statement, whether mandatory or voluntary, is truthful and not misleading.

Federal law prohibits the use of false or misleading statements in labeling for drugs, cosmetics, tobacco products, foods, supplements, and alcoholic beverages. Claims concerning a product's impact on health or disease are limited by FDA regulation and may be considered false or misleading depending on the product type and claim content. When a product is not marketed as a drug, its labeling may not include any claims to diagnose, mitigate, treat, cure, or prevent any disease because, by law, "disease claims" may only be made about drugs. Alcoholic beverage labels similarly may not include disease claims and are also subject to regulatory scrutiny for labeling that contains non-mandatory health-related statements implying curative or therapeutic effects or a relationship between consumption and health benefits or effects.

<sup>&</sup>lt;sup>33</sup> Federal Food, Drug, and Cosmetic Act, Federal Alcohol Administration Act

<sup>34</sup> See 27 C.F.R. § 101.93(g)(2) for more information on disease claims that may only be made for products marketed and sold as a drug.

<sup>35 27</sup> C.F.R. § 5.42(b)(8)

States like Colorado and Minnesota have prohibited false or misleading statements in cannabis labeling, but it is less common to find specific prohibitions against health or disease claims in state cannabis regulation. In the interest of protecting consumers and aligning with federal policy, we recommend that all states with cannabis programs adopt a prohibition on false or misleading statements, including health or disease claims, in cannabis labeling.

Though most reasonable business people would recognize that deceptive statements are unacceptable without a rule in place, we believe it is advantageous to explicitly prohibit false and misleading statements, including health or disease claims, for several reasons. First, a specific prohibition justifies enforcement action against cannabis licensees making false or misleading claims by their primary regulators, which would be more efficient than having enforcement handled by the state entity that prosecutes false advertising claims. Second, by specifying that health or disease claims about cannabis products are false or misleading and therefore prohibited, medical cannabis licensees in compliance with the state will be protected from FDA enforcement action, which has occurred for CBD products labeled with unlawful health or disease claims. We believe many medical cannabis licensees may not be aware of federal restrictions on health or disease claims and an FDA enforcement action could be circumvented by prohibiting these types of claims. Finally, our suggested prohibition protects consumers from being misled and misinformed about cannabis products, which is especially important given the current dearth of well-designed research and scientific evidence concerning the medicinal properties and health impacts of cannabis. It is highly unlikely that any state regulatory body has the resources to review scientific evidence and substantiate every health or disease claim in accordance with FDA substantiation standards, <sup>36</sup> so prohibiting such claims is a simple, temporary solution that should be revisited following federal cannabis reform.

The language provided in the  $\underline{\text{Model}}$  Regulations has been adapted from language in federal labeling rules for distilled spirits and dietary supplements.<sup>37</sup>

<sup>-</sup>

<sup>&</sup>lt;sup>36</sup> U.S. Food & Drug Agency, 2008. Guidance for Industry: Substantiation for Dietary Supplement Claims Made Under Section 403(r)(6) of the Federal Food, Drug, and Cosmetic Act. Retrieved from:

 $<sup>\</sup>frac{\text{http://www.fda.gov/food/guidanceregulation/quidancedocumentsregulatoryinformation/dietarysupplements/ucm073200.htm}{\text{on 11/8/2016.}}$ 

<sup>&</sup>lt;sup>37</sup> 27 C.F.R. § 5.42(a)(1) and (b)(8)

#### **Voluntary Claim Substantiation Generally**

Some common labeling items have been excluded from our recommendations because we believe they are unnecessary for the protection of health and safety but are likely to be voluntarily employed for marketing purposes. For example, producers may elect to provide information about cultivation inputs, solvents or chemicals used in manufacturing, or terpene content to appeal to certain cannabis consumers. The importance of truthful and unambiguous labeling holds whether the information provided is mandatory or voluntary, so we recommend requiring licensees to retain documentation substantiating voluntary labeling statements, just like THC potency values are substantiated by laboratory testing records.

# Recommendation 14: Small package labeling compliance

As the legal cannabis market matures the range of product and packaging options will expand; as such, it is important that cannabis laws and regulations offer some degree of flexibility so as not to stifle innovation. At present, there is a great need for flexibility in terms of mandatory labeling content because products in small containers often will not have sufficient surface area to display the required information. Though some states allow required labeling information to be provided to consumers on a separate sheet at the point of sale, on the interior of a peel-back label, or in an attached accordion label, a consumer is much less likely to read information presented in these formats. Any type of labeling that is easily detached from the product packaging is likely to be lost or discarded.

In order to accommodate small packages but preserve labeling effectiveness, we recommend establishing a reduced set of labeling requirements for cannabis products in retail packaging that does not have sufficient space for all of the mandatory label content. Cannabis products sold at retail in small packages should be required to include at least a statement of identity, net quantity of contents, the cultivator's or manufacturer's license number (as appropriate), the lot or batch code, the Cannabis Facts panel with all required potency information, all required warnings, and the universal symbol, if applicable. Licensees should have the option of requesting Department review of the small container labeling to ensure compliance or authorization to use a font that is smaller than would be allowed otherwise.

30

<sup>&</sup>lt;sup>38</sup> The reduced labeling requirements recommended here were inspired by small package labeling regulations adopted in Oregon. See OAR 333-007-0090(4).

#### CANNABIS PACKAGING REGULATORY RECOMMENDATIONS

# **Recommendation 15: Child-resistant packaging**

Require all cannabis products for sale to a consumer to be packaged in a container that is child-resistant or otherwise placed within a child-resistant exit bag before the product leaves the licensed premises. In the future, this requirement should be reconsidered and eliminated for certain product types as appropriate.

Multi-unit ingestible product packages, multi-unit transdermal product packages, and activated concentrate product packages must be re-sealable and maintain child-resistant effectiveness for at least the number of closures as there are MSUs in the product.

Cannabis products in non-child-resistant packages may be dispensed to the elderly and persons with a physical disability who experience difficulty in opening child-resistant packaging.

Child-resistant packaging is special safety packaging mandated by the Poison Prevention Packaging Act of 1970 to protect children from harm resulting from ingestion or contact with certain household products. Products required to be in child-resistant packaging range from liquid nicotine and ibuprofen to cosmetics with a certain amount of ethylene glycol. Child-resistant packaging is commonly required under state cannabis law and regulations, but the details of child-resistant packaging rules and their application to cannabis product types vary from state to state.

Policymakers, members of industry, and public health experts generally agree that ingestible cannabis-infused products in food and drink forms should be dispensed in child-resistant packaging because of their potential to appeal to children and cause significant intoxication when consumed. Committee members showed overwhelming support for mandating child-resistant packaging for all ingestible infused products and 84% of surveyed respondents concurred. Whether child-resistant packaging should be required for the remaining products is debatable because the remaining products are less appealing to eat than infused foods and many will not produce a psychoactive effect when eaten or touched. Regardless, we found that a majority of survey respondents supported mandatory child-resistant packaging for cannabis flower (58%), concentrates (75%), and non-ingestible infused products (57%).

-

<sup>&</sup>lt;sup>39</sup> 16 C.F.R. § 1700.14

Though the Committee disagreed on whether child-resistant packaging is necessary for non-activated products, members ultimately supported mandatory child-resistant packaging for all cannabis products, at least until the legal cannabis industry is better established. In light of the considerable scrutiny the industry faces at this nascent stage, it is essential that industry participants advocate for rigorous safety standards, especially for the protection of children. At present, it is likely that few parents and policymakers are aware that cannabis flower and non-activated concentrates don't cause intoxication when eaten, so it is best to adopt more restrictive rules aimed at preventing accidental ingestion by children now and adapt regulations to the realities of the product later, when cannabis is more widely accepted and understood. It is important to note that mandatory child-resistant packaging alone will not entirely prevent accidental ingestion of cannabis products by children. Public education campaigns concerning safe storage of cannabis products and mandatory child-resistant packaging are complementary measures and should be implemented concurrently.

Federal special packaging standards and test methods have been in place for decades and can easily be applied to cannabis products. We recommend defining child-resistant packaging to conform with the federal test protocol for "special packaging" established in 16 C.F.R. 1700.20, as amended in 1995 (the current version at time of writing). The Committee's recommended definition of child-resistant packaging, which is modeled after language used in Colorado and Oregon, is provided in the <u>model definitions</u>.

Consistent with special packaging specifications set forth in 16 C.F.R. 1700.15, we further recommend requiring that every multi-unit ingestible or transdermal cannabis-infused product be dispensed in packaging that is re-sealable and maintains its child-resistant effectiveness for at least the number of MSUs within. Licensees should also be permitted to provide a limited number of non-compliant packages for the elderly or persons with a physical disability who experience difficulty opening child-resistant packaging as long as there is a conspicuous warning stating: "This package is for households without young children" or "Package Not Child-Resistant."

<sup>&</sup>lt;sup>40</sup> Though the majority of Committee members and survey respondents supported mandatory child-resistant packaging for all or nearly all products, a few participants were adamantly against mandatory child-resistant product packaging and instead thought child-resistant packaging should be required in the form of an exit bag or not at all. Reasons provided for their positions include: it doesn't protect children over 5 and older children or teens may be the primary group experiencing accidental ingestion; resealable packaging that retains child-resistant effectiveness is expensive/drives up market costs/makes black market competition more difficult; negatively impacts or blocks small companies entering the market; limits product differentiation; not eco-friendly; gives parents a false sense of security—a certain failure rate is allowed when child-resistant packaging undergoes testing, so the packaging alone is not a 100% guarantee that children aged five and under will be prevented from opening the package.

<sup>&</sup>lt;sup>41</sup> 15 U.S.C. § 1473(a)

# **Recommendation 16: Liquid unit measurement**

Require multi-unit liquid ingestible infused products intended for adult use to be dispensed to a consumer in packaging with a device or mechanism for measuring a single-unit portion of the product.

When adult cannabis consumers in Colorado and Washington purchase a liquid ingestible cannabis-infused product, the package will contain a mechanism or device for measuring a single unit of the product. This packaging requirement is recommended for the same reasons as the mandatory demarcation of each unit in multi-unit solid edibles. Single-unit (referred to in some states as "single serving") demarcation and measurement devices became required for multi-unit products as a response to early cases of accidental over-ingestion partially resulting from a lack of clarity concerning proper dosage for edibles. Our survey findings show that cannabis industry and ancillary businesses overwhelmingly support mandatory inclusion of a measurement device or mechanism (69% in favor) with the packaging in which an adult-use multi-unit liquid ingestible product is dispensed.

The Committee shares regulators' concerns about accidental over-ingestion and fully supports reasonable prevention measures. Thus, we recommend that every state adopt regulations mandating the use of retail packaging for liquid adult-use ingestible cannabis-infused products with a device or mechanism for measuring a single unit. Language should be sufficiently broad to allow familiar devices, like measuring cups and droppers, as well as innovative solutions. Though lawmakers and regulators in Colorado and Washington prohibit demarcation on the container itself, such as hash marks, we think demarcation should be allowed because the potential for precision loss is minor and the actual risk posed by minor loss of precision is negligible as long as potency is appropriately capped per MSU for the applicable product types (see Appendix).

# **Recommendation 17: Opaque packaging**

Require that all cannabis products be dispensed to consumers in opaque packaging.

Opaque cannabis packaging is currently required in most legal cannabis states and is recommended here for several reasons. First, public health researchers have found evidence that opaque packaging makes products less appealing to adolescents and

<sup>&</sup>lt;sup>42</sup> We considered recommending that a single-unit measurement device or mechanism be included with retail packaging for adult-use multi-unit transdermal infused products as well, but elected against doing so at this time due to a lack of known issues with accidental over-ingestion of transdermal products in the adult-use marketplace. It would be wise to monitor the issue and if problems do arise, a mandatory measurement device should be considered and possibly adopted.

could help limit ingestion of the package's contents by children under the age of 7. Second, as previously noted, cannabis products lose potency much more quickly when exposed to light, so opaque packaging has the added benefit of protecting cannabis from light-related degradation. In addition, at the federal level, the U.S. Pharmacopeia ("U.S.P.") requires light-resistant containers to protect certain drugs from the effects of light, which includes opaque containers and translucent containers affixed with an opaque covering.

As such, opaque packaging should be required for all cannabis products sold at retail in the interest of preventing underage ingestion, preserving product quality, and aligning state cannabis policy with relevant federal laws. In line with the U.S.P., opaque packaging should be defined or otherwise specified to include packaging that is opaque by composition as well as packaging that may be translucent but is affixed with an opaque covering. Ideally, states should require product packaging to be opaque as well as child-resistant, but states may choose to allow placement of products in a child-resistant, opaque exit bag at the point of sale as an alternative compliance measure.

# **Recommendation 18: Prohibit packaging that is attractive to minors**

Prohibit cannabis product packaging that primarily appeals to minors, including packaging that depicts a minor or portrays objects, images, or cartoon figures that primarily appeal to minors. "Minor" means a person under the age of 21 for adult-use cannabis or under the age of 18 for medical cannabis. Packaging is considered to "primarily appeal" to minors if it has special attractiveness to minors beyond the general attractiveness it has for persons of legal purchase age.

Just like state regulators, parents, and the public, this Committee of cannabis businesses and subject matter experts wants to prevent cannabis consumption by minors. We believe that the overwhelming majority of cannabis businesses share this goal and that comprehensive regulation is the best way to keep cannabis away from children and teens. The Committee supports all reasonable regulatory measures aimed at reducing cannabis consumption by minors. As such, we recommend that all legal cannabis states prohibit cannabis product packaging that primarily appeals to minors, including packaging that depicts a minor or portrays objects, images, or cartoon figures that primarily appeal to minors.

\_

<sup>&</sup>lt;sup>43</sup> Duke, J. K., Collins, K., Kimbrough-Melton, R., Baskfield, H., & Tung, G. J. Preventing unintentional ingestion of marijuana by children: A health impact assessment of packaging regulations in retail marijuana establishments in Colorado. August 2013. Retrieved from: <a href="http://www.ucdenver.edu/academics/colleqes/PublicHealth/research/ResearchProjects/piper/projects/Documents/HIA%20Final%20Report%208.20.2013.pdf">http://www.ucdenver.edu/academics/colleqes/PublicHealth/research/ResearchProjects/piper/projects/Documents/HIA%20Final%20Report%208.20.2013.pdf</a> on December 23, 2016.

Many states have already adopted similar prohibitions, but most do not specify the criteria for determining if a given package is appealing to minors. This lack of clarity can become problematic for both regulators and licensees. To resolve this issue, CRCR staff looked into the product and packaging types determined to appeal to minors. There is a strong body of research indicating that cartoon characters, even when unfamiliar, have been found to influence children's food preferences, choices, and intake, so we agree that cartoons should not be used in cannabis product packaging. We also found that the voluntary Code of Responsible Practices of the Distilled Spirits Council of the United States (DISCUS) and Oregon's current cannabis regulations provide excellent models for defining what appeals to minors; both were drawn from in the Model Regulations.

# Recommendation 19: Prohibit packaging that resembles packaging of certain commercially available products

Prohibit cannabis product packaging that bears a reasonable resemblance to the trademarked or characteristic packaging of any commercially available candy, snack, baked good, or beverage.

Many medical and adult-use cannabis states prohibit cannabis product packaging that bears a reasonable resemblance to the packaging of commercially available candies, snacks, baked goods, and beverages. The purpose of this prohibition is to prevent the accidental ingestion of cannabis products mistaken for commercially available food or drinks and to limit appeal to children. We do not believe this prohibition places any unreasonable restrictions on licensees as cannabis businesses, like other businesses, must develop a distinctive brand.

In the interest of preventing accidental ingestion of cannabis products, we recommend prohibiting cannabis product packaging that bears a reasonable resemblance to the trademarked or characteristic packaging of any commercially available candy, snack, baked good, or beverage. 48

<sup>&</sup>lt;sup>44</sup> Roberto, C.A., Baik, J., Harris, J. L., and Brownell, K.D. Influence of licensed characters on children's taste and snack preferences. 2010. Pediatrics, 126(1)

<sup>&</sup>lt;sup>45</sup> Kraak, V.I. & Story, M. Influence of food companies' brand mascots and entertainment companies' cartoon media characters on children's diet and health: a systematic review and research needs. 2015. Obesity Review, 16(2).

<sup>&</sup>lt;sup>46</sup> Distilled Spirits Council of the United States. Code of Responsible Practices, pg. 5. 2011. Retrieved from: <a href="http://www.discus.org/assets/1/7/May\_26\_2011\_DISCUS\_Code\_Word\_Version1.pdf">http://www.discus.org/assets/1/7/May\_26\_2011\_DISCUS\_Code\_Word\_Version1.pdf</a>

<sup>&</sup>lt;sup>47</sup> OAR 845-025-7020(3)(c) and 845-025-7000(1)

<sup>&</sup>lt;sup>48</sup> Note that this prohibition is intended to prevent the replication of the look and feel of the packaging for product and brand recognition purposes rather than to limit the use of established packaging methods or container types.

# Recommendation 20: Require packaging to protect contents from contamination

Require cannabis product packaging to protect the product from contamination and prohibit packaging that imparts any toxic or deleterious substance to the cannabis product.

Under the federal Food, Drug, & Cosmetic Act, a food product, dietary supplement, drug, or cosmetic is deemed adulterated if the product is contaminated or potentially contaminated, including when "its container is composed, in whole or in part, of any poisonous or deleterious substance which may render the contents injurious to health." Because cannabis product safety is currently regulated at the state level, many states that have legalized medical or adult-use cannabis chose to adopt regulations that explicitly prohibit packaging that could transfer unsafe substances to cannabis products, rendering them adulterated if these products were subject to the FD&C Act. Such a provision sustains this basic consumer protection in the absence of federal regulatory oversight and is therefore recommended for inclusion in state cannabis packaging regulations.

\_

<sup>&</sup>lt;sup>49</sup> Food and dietary supplements: 21 USC § 342(a); Drugs: 21 USC § 351(a)(1); Cosmetics: 21 USC § 361(d)

#### MODEL PACKAGING AND LABELING REGULATIONS

#### **Definitions**

The following definitions of terms shall apply, unless the context requires otherwise:

**Active THC** has the same meaning as THC.

**Activated Concentrate** means Cannabis Concentrate that a Licensee intentionally subjected to conditions or processes that the Licensee should have reasonably known would cause Decarboxylation for the purpose of converting THCa to Active THC.

**Activation Time** means the amount of time it is likely to take for an average consumer to begin to feel the effects of consuming or using a Cannabis Product.

**Adult** means a person twenty-one years of age or older. The term "Adult" does not include a medical Cannabis patient.

**Adult-Use Product** means a Cannabis Product that is intended for consumption or use by an Adult and may be purchased by an Adult from a licensed dispensary.

#### **Batch** means:

- i. A specific quantity of Cannabis that is uniform in strain, cultivated utilizing the same growing practices, harvested within a 48-hour period at the same location, and cured under uniform conditions:
- ii. A specific quantity of Cannabis Concentrate that is produced at the same time using the same extraction methods, standard operating procedures, and Cannabis from the same Lot(s); or
- iii. A specific quantity of Cannabis-Infused Product produced at the same time using the same Ingredients, standard operating procedures, and Cannabis from the same Lot(s) or Cannabis Concentrate from the same Lot(s).

**Cannabis** means all parts of the plant of the genus *Cannabis*, whether growing or not, the seeds thereof, the resin extracted from any part of the plant, and every compound, manufacture, salt, derivative, mixture, or preparation of the plant, its seeds, or its resin, including Cannabis Concentrate, that is cultivated, manufactured, or dispensed by a Licensee. "Cannabis" does not include industrial hemp, nor does it include fiber produced from the stalks, oil or cake made from the seeds of the plant, the sterilized seed of the plant which is incapable of germination, or the weight of any other Ingredient combined with Cannabis to prepare Cannabis Concentrate or Cannabis-Infused

#### Products.

**Cannabis Concentrate**, or "Concentrate," means a substance obtained by separating naturally occurring chemical constituents of Cannabis, such as cannabinoids, from other Cannabis plant material by mechanical, chemical, or other processes that may:

- i. Contain solvents in allowable amounts and Ingredients used to promote a desired physical state, texture, or flavor in the Cannabis Concentrate, but no other Ingredients; and
- ii. Be intended for use in the production of Cannabis-Infused Products; or
- iii. Be a finished product intended for human consumption or use.

**Cannabis Product** means a finished product intended for human consumption or use that is comprised partially or completely of Cannabis. This term "Cannabis Product" is used generally to refer to one or more of the following: Cannabis Flower, Cannabis Concentrates, and Cannabis-Infused Products, including Ingestible and Non-Ingestible Cannabis Infused Products and all sub-categories thereof.

**Cannabis Product Category** means a defined group of Cannabis Products that are in the same form. Cannabis Product Categories are:

- i. Cannabis Flower:
- ii. Cannabis Concentrates, including the following sub-categories:
  - a. Activated Concentrates: and
  - b. Non-Activated Concentrates;
- iii. Cannabis-Infused Products, including the following sub-categories:
  - a. Ingestible Cannabis-Infused Products, including the following sub-categories:
    - i. Edible Cannabis-Infused Products; and
    - ii. Transmucosal Cannabis-Infused Products;
  - b. Non-Ingestible Cannabis-Infused Products, including the following sub-categories:
    - i. Topical Cannabis-Infused Products; and

ii. Transdermal Cannabis-Infused Products.

**Cannabis Flower,** or "Flower," means the inflorescence(s) of the mature pistillate (female) Cannabis plant.

**Cannabis-Infused Product** means any Cannabis Product that is comprised of Cannabis and at least one other Ingredient and is intended for use or consumption other than by smoking or vaporizing. A Cannabis-Infused Product may be an Ingestible Cannabis-Infused Product or a Non-Ingestible Cannabis-Infused Product.

**Child-Resistant** means designed or constructed to be significantly difficult for children under five years of age to open and not difficult for normal adults to use properly as certified by a qualified, third-party testing body using the test protocol described in 16 C.F.R. 1700.20 (1995).

**Decarboxylation** means a chemical reaction that converts an acid to a phenol and releases carbon-dioxide ( $CO_2$ ); a carbon atom is removed from a carbon chain.

**Department** means [INSERT NAME OF STATE CANNABIS REGULATORY AGENCY].

**Effective THC** means the sum of the percentage by weight of THCa multiplied by 0.877 plus the percentage by weight of THC.

**Exit Bag** means a Child-Resistant, Opaque, sealed container provided at the point of sale in which any Cannabis Products already in Packaging that is not Child-Resistant are placed prior to leaving a licensed dispensary.

*Immediate Container* means the Package in direct contact with a Cannabis Product at the point of sale to a consumer.

*Ingestible Cannabis-Infused Product*, or "Ingestible," means a product that contains Cannabis and at least one other Ingredient, is intended for consumption or use other than by smoking or vaporizing, is intended to be taken into the body, and is in one of the following sub-categories:

- i. An *Edible Cannabis-Infused Product*, or "Edible," which is an Ingestible Cannabis-Infused Product that is intended to be taken by mouth, swallowed, and primarily absorbed through the gastrointestinal tract. Edible Cannabis-Infused Products may be Psychoactive when used as intended. Without limitation, Edible Cannabis-Infused Products may be in the form of a food, beverage, capsule, or tablet; or
- ii. A *Transmucosal Cannabis-Infused Product*, or "Transmucosal," which is an

Ingestible Cannabis-Infused Product that is intended to be placed in a body cavity and absorbed through the mucosal lining of the cavity, and may be Psychoactive when used as intended. Transmucosal Cannabis-Infused Products include, but are not limited to, cannabis-infused tinctures, anal suppositories, lozenges, and nasal sprays.<sup>50</sup>

**Ingredient** means any substance that is used in the manufacture of a Cannabis Concentrate or Cannabis-Infused Product and that is intended to be present in the finished product.<sup>51</sup>

**Label** or "Labeling" means the written, printed, or graphic matter displayed on the Packaging in which a Cannabis Product is dispensed or displayed to a consumer. <sup>52</sup>

**Licensee** means any Person licensed, registered, or otherwise authorized by the Department to engage in commercial Cannabis cultivation, processing, extraction, manufacturing, packaging, labeling, testing, transportation, distribution, wholesale, delivery, or retail sale, or any other authorized activity or combination of activities.

**Lot** means a Batch, or a specific identified portion of a Batch, having uniform character and quality within specified limits.

**Major Food Allergen** or "Allergen" means milk, eggs, fish, Crustacean shellfish, tree nuts, peanuts, wheat, and soybeans and any ingredient containing a protein derived from these foods.

**Manufacturer-Specified Unit**, "MSU," or "Unit" means a quantity of an Edible Cannabis-Infused Product, Transmucosal Cannabis-Infused Product, Transdermal Cannabis-Infused Product, or Activated Concentrate that contains no more than [X milligrams]<sup>53</sup> of Active THC and is intended to be consumed or used by an Adult on one occasion.

**Medical-Use Product** means a Cannabis Product that is intended for consumption or use by a qualified, registered medical Cannabis patient and may be purchased by a qualified,

Adapted from 21 C.F.R. § 111.

<sup>&</sup>lt;sup>50</sup> Each state should consider whether it is necessary to create a new product sub-category for cannabis tinctures in order to exempt tinctures from state liquor laws.

<sup>&</sup>lt;sup>51</sup> Adapted from 21 C.F.R. § 111.3

<sup>&</sup>lt;sup>52</sup> Adapted from the definition of label in Section 201(k) of the Federal Food, Drug, and Cosmetic Act and the definition of labeling set forth in the U.S. Pharmacopoeia-National Formulary.

<sup>&</sup>lt;sup>53</sup> "[X milligrams]" is a placeholder for a state-imposed limit on per-Unit Active THC content. The Committee is in favor of state-imposed limits on the per-unit potency of cannabis-infused products (topicals excepted) but could not reach consensus on the precise amount of Active THC that should be allowed per unit. Therefore, the C recommends that each state adopt a per-unit potency cap based on the best available evidence and the input of diverse local stakeholders and subject-matter experts. See <a href="this section of the Appendix">this section of the Appendix</a> for more information about state policy regarding THC potency caps.

registered patient or a patient's caregiver from a licensed dispensary.

**Multi-Unit Product** means an Edible Cannabis-Infused Product, Transmucosal Cannabis-Infused Product, Transdermal Cannabis-Infused Product, or Activated Concentrate that consists of more than one Manufacturer-Specified Unit and is intended to be consumed or used by an Adult on more than one occasion.

**Non-Activated Concentrate** means Cannabis Concentrate that has not undergone a process or subjected to conditions that the Licensee knew or reasonably should have known would cause Decarboxylation.

**Non-Ingestible Cannabis-Infused Product**, or "Non-Ingestible," means a product that contains Cannabis and at least one other Ingredient, is intended for consumption or use other than by smoking or vaporizing, is intended for external use only, and is one of the following:

- i. A *Topical Cannabis-Infused Product*, or "Topical," which is a Non-Ingestible Cannabis-Infused Product that is not Psychoactive when used as intended. Topical Cannabis-Infused Products include but are not limited to Cannabis-infused creams, salves, bath soaks, and lotions; <sup>54</sup> or
- ii. A *Transdermal Cannabis-Infused Product*, or "Transdermal," which is a Non-Ingestible Cannabis-Infused Product that contains at least one skin-permeation-enhancing Ingredient to facilitate absorption through the skin into the bloodstream, and may be Psychoactive when used as intended. Transdermal Cannabis-Infused Products include but are not limited to Cannabis-infused adhesive patches that are applied to the skin surface.

**Opaque** refers to Packaging that does not allow the contents to be seen when unopened. Packaging may be Opaque by virtue of the specific properties of the material of which it is composed, including any coating applied to it, or by means of a secondary Opaque covering, such as a sticker.

Package, or "Packaging," means the Immediate Container in which a finished Cannabis

<sup>54</sup> At present, all available evidence indicates that topical cannabis products are entirely non-psychoactive when used as intended.

found that, at high potencies or under certain conditions, a topical cannabis product has psychoactive potential, the definitions proposed here should be amended. It is also worth noting that cannabis topicals containing delta-9-tetrahydrocannabinol, or Active THC, may cause psychoactive effects if eaten. This has become a concern for regulators in some legal cannabis states, including Oregon, but not so much in others, so it seems appropriate for states to increase regulation of edible products if the need arises.

The definition of "transdermal cannabis-infused product" presented here references a skin-permeation-enhancing ingredient, which the pharmacological research conducted as part of this project pointed to as the key distinguishing factor between topicals, which produce a localized effect only, and transdermals which are capable of a systemic, and therefore psychoactive, effect. We recognize that the difference between these product categories may be lost on the average cannabis consumer today but don't think that should limit progress towards regulatory precision because businesses and consumers can and will adjust. That being said, there is no known research indicating whether topical cannabis products allow residual amounts of cannabinoids into the bloodstream. If it is found that at high potencies or under certain conditions a topical cannabis product has psychoactive potential, the definitions

Product is placed for retail sale to consumers and any outer container or wrapping used in the retail display of the Cannabis Product to consumers. "Package" does not include:

- i. Any shipping container or wrapping used solely for the transportation of any Cannabis Product in bulk or in quantity to Licensees;
- ii. Any shipping container or outer wrapping used by a Licensee to ship or deliver any Cannabis Product directly to consumers unless it is the only such container or wrapping;<sup>55</sup> or
- iii. An Exit Bag.

**Person** means a natural person, partnership, association, company, corporation, limited liability company, or organization, or a manager, agent, owner, director, servant, officer, or employee thereof; except that "Person" does not include any governmental organization.

**Psychoactive** means capable of affecting mental processes or cognition when used as intended. A Cannabis Product is considered per se Psychoactive if it is not a Topical Cannabis-Infused Product and the labeled potency is greater than three-tenths of one percent (0.3%) of Active THC or Effective THC, or is greater than one (1) milligram of Active THC per Package or, if applicable, per Manufacturer-Specified Unit.

**Single-Unit Product** means an Edible Cannabis-Infused Product, Transmucosal Cannabis-Infused Product, Transdermal Cannabis-Infused Product, or Activated Concentrate that consists of a single Manufacturer-Specified Unit containing no more than [X milligrams]<sup>56</sup> of Active THC and that is intended to be consumed or used by an Adult on one occasion.

**THC** means  $\Delta^9$ -tetrahydrocannabinol.

**THCa** means  $\Delta^9$ -tetrahydrocannabinolic acid.

-

<sup>&</sup>lt;sup>55</sup> Adapted from 16 C.F.R. § 1700.1(b)(3)

<sup>&</sup>lt;sup>56</sup> "[X milligrams]" is a placeholder for a state-imposed limit on per-unit Active THC content. The Committee is in favor of state-imposed limits on the per-unit potency of cannabis-infused products (topicals excepted) but could not reach consensus on the precise amount of Active THC that should be allowed per unit and therefore recommends that each state adopt a per-unit potency cap based on the best available evidence and the input of diverse local stakeholders and subject-matter experts. See <a href="this section">this section</a> of <a href="this section">the Appendix</a> for more information about state policy regarding THC potency caps.

# §1 - Labeling Requirements: General

- A. <u>Conspicuous and Unobstructed.</u> All information required on the Labeling of a Cannabis Product sold to a consumer shall be unobstructed and conspicuous. A Licensee may affix multiple Labels to a Package, or use a booklet, accordion, or other type of label, provided that no required information is completely and permanently obstructed.
- B. <u>Text.</u> All information required on the Labeling of a Cannabis Product sold to a consumer shall be:
  - i. Displayed in any legible font, provided that the lowercase letter "o" is at least one-sixteenth inch in height;
  - ii. Displayed in a color that contrasts conspicuously with its background; and
  - iii. Displayed in English, although a Licensee may choose to display required information in additional languages.
- C. <u>Required Information</u>. A Cannabis Product sold to a consumer shall be labeled with the following information:
  - i. The common or usual name of the Cannabis Product in bold type, which shall include the term "Cannabis";
  - ii. The name of the Licensee that produced or dispensed the Cannabis Product;
  - iii. The business phone number or email address of the Licensee that produced or dispensed the Cannabis Product;
  - iv. The Batch or Lot code established by the cultivator or manufacturer, which shall be a distinctive combination of letters, numbers, or symbols, or any combination of them, from which the complete history of the cultivation, manufacture, processing, packing, holding, and distribution of a Batch or Lot of Cannabis Product can be determined;
  - v. The net quantity of contents of the Cannabis Product stated in both U.S. Customary and Metric (SI) Units. The statement of quantity shall be:
    - a. Stated in U.S. Customary Units and Metric (SI) Units, with the latter enclosed in parentheses;
    - b. If the product is a liquid:

- i. Expressed in terms of fluid measure; and
- ii. Preceded by the phrase "Net Contents" or "Net"; or
- c. If the product is a solid, semi-solid, or is viscous:
  - i. Expressed in terms of dry weight; and
  - ii. Preceded by the phrase "Net Weight," the abbreviation "Nt. Wt.," or "Net."
- d. In addition to dry weight or fluid measure, a Licensee may include the number of Units in the net quantity of contents statement if the product is a Multi-Unit Cannabis Product [e.g., Net Weight: 2 oz. (56.7 g) (10 cookies)].
- vi. The following statement: "This product complies with state contaminant testing rules." <sup>57</sup>
- vii. The Universal Symbol, if the labeled potency of the Cannabis Product as stated on the Cannabis Facts Panel is at least 0.3% Effective THC or at least one milligram of Active THC. The Universal Symbol:
  - a. Shall be at least 0.33 inches wide and 0.33 inches high;
  - b. May be downloaded from the Department's website; and
  - c. Shall be in the following form:



\_

<sup>&</sup>lt;sup>57</sup> This label requirement should be applied in states with mandatory testing programs.

#### viii. Required Warnings:

- a. "KEEP OUT OF REACH OF CHILDREN AND PETS.";
- b. "This product may be unlawful outside of the State of [insert state].";
- c. If the Cannabis Product is Psychoactive, the following warning: "This product may have intoxicating effects. Do not drive or operate heavy machinery while under the influence of Cannabis."; and
- d. One of the following warnings, as applicable:
  - i. "For medical use only."; or
  - ii. "For use only by adults twenty-one and older."
- D. <u>Deceptive, False, or Misleading Statements Prohibited.</u> Cannabis Product Labeling shall not contain any statement that is false or untrue in any particular, or, irrespective of falsity, directly or by ambiguity, omission, or inference, or by the addition of irrelevant, scientific or technical matter, tends to create a misleading impression.
  - A disease claim and a health-related statement shall be considered false or misleading until such claims and statements are subject to federal review and substantiation.
  - ii. A Licensee shall maintain substantiation that each label statement is truthful and not misleading, regardless of whether the statement is required or included at the Licensee's discretion. Test results from an accredited and licensed cannabis testing laboratory are the only acceptable form of substantiation for cannabinoid and terpene content claims.
- E. <u>Small Labels</u>. Notwithstanding any other rule or regulation, a Cannabis Product that is in Packaging that, because of its size, does not have sufficient space for all of the information required for compliance with these rules shall be labeled in accordance with the following:
  - i. At a minimum, the labeling shall include the following information:
    - a. Common or usual name of the product, which shall include the term "Cannabis";
    - b. Net quantity of contents;

- c. The license number of the cultivator or the manufacturer, as appropriate;
- d. Batch or Lot code;
- e. The Cannabis Facts Panel with all required information;
- f. All required warnings; and
- g. The universal symbol, if applicable;
- ii. All required information not included on the labeling shall be provided to the consumer:
  - a. On a leaflet provided to the consumer at the point of sale; or
  - b. On a website maintained by the Licensee that produced or dispensed the product, provided that the web address is conspicuously displayed on the Label;
- iii. If approved by the Department, required information may be:
  - a. Displayed in a legible font that does not meet the minimum size requirement established in (B)(i); and
  - b. Displayed on a peel-back or accordion label.

# §1.1 - Labeling Requirements: Cannabis Flower

- A. <u>Required Information.</u> In addition to the general labeling requirements set forth in Section 1(C), each Package of Cannabis Flower sold to a consumer shall be labeled with the following information:
  - i. The license number of the Licensee that cultivated the Cannabis Flower:
  - ii. A Cannabis Facts Panel that shall:
    - a. Include the percentage concentration of Effective THC by weight;
    - b. Include the percentage concentration of each additional marketed cannabinoid and terpene by weight, if applicable;
    - c. Specify the reference weight (e.g., "Active ingredient in each gram"); and
    - d. Be in substantially the same form as the following:

# Cannabis Facts Active ingredient in each gram Effective THC......23%

Visual Sample 1 – Cannabis Facts: Cannabis Flower, Mandatory Information Only

Cannabis Facts	
Active ingredient in each gram	
Effective THC	23%
CBDa	2.4%
CBG	0.8%
Limonene	0.6%
B-Myrcene	0.4%

Visual Sample 1.1 – Cannabis Facts: Cannabis Flower, Mandatory and Voluntary Information

# §1.2 – Labeling Requirements: Cannabis Concentrates

- A. <u>Required Information.</u> In addition to the general labeling requirements set forth in Section 1(C), each Package of Cannabis Concentrate sold to a consumer shall be labeled with the following information:
  - i. The license number of the Licensee that produced the Cannabis Concentrate.
  - ii. If the Cannabis Concentrate is intended to be cooked with, eaten, or otherwise swallowed and digested, a Nutrition Facts Panel designed in accordance with 21 CFR § 101.9(c) and (d), hereby incorporated by reference, except that:
    - a. "Manufacturer-Specified Unit" shall replace "Serving Size" in the incorporated regulations, except as otherwise specified in this section;
    - b. The term "Serving Size" on the Nutrition Facts Panel shall be replaced with "Recommended Single Portion" or "One Portion"; and
    - c. The word "Serving" in "Amount Per Serving" on the Nutrition Facts Panel shall be replaced with a descriptive term for the Manufacturer-Specified Unit that is appropriate for the product type and enables a reasonable consumer to intuitively determine how much of the product is intended to be consumed or used on a single occasion. The descriptive term for the Manufacturer-Specified Unit used in the Nutrition Facts Panel shall be the same as the descriptive term for the Manufacturer-Specified Unit used in the Cannabis Facts Panel for a given product.

- iii. An Ingredients list that shall include all Ingredients in the Cannabis Concentrate listed by common or usual name in descending order of predominance by weight and the term "Cannabis" followed by the part of the plant (such as flower or trim) from which the Cannabis Concentrate is derived in parenthesis.
  - a. The Ingredients list shall be located immediately below the Nutrition Facts panel, when present.
  - b. Any residual solvent present in a Cannabis Concentrate in an amount that is less than or equal to the acceptable limit established in Department regulations and that is not intended to be part of the finished Cannabis Concentrate may be excluded from the Ingredients list.
  - c. Any substance that is present in a Cannabis Concentrate in an insignificant amount and does not have any technical or functional effect in the finished product may be excluded from the Ingredients list.
  - d. An Ingredients list may be excluded from the Labeling of any Cannabis Concentrate that contains only Ingredients derived from Cannabis.
- iv. An Allergen statement that shall declare the presence of Major Food Allergens in plain language, using the name of the food source from which each Major Food Allergen is derived.
  - a. An Allergen statement may be excluded from the Labeling of any Cannabis Concentrate that is not intended to be cooked with, eaten, or otherwise swallowed and digested.
  - b. The Allergen statement shall be presented in the following manner:
    - i. In list form, following the word "Contains." For example, "Contains Milk, Wheat, Egg, and Walnuts"; or
    - ii. In the Ingredients list, in parentheses following the common or usual name of the ingredient that is derived from or contains the Major Food Allergen; and
  - c. As used in this section, "name of the food source from which each major food allergen is derived" means the name of the food major food allergen, except that:

- i. In the case of a tree nut, it means the name of the specific type of nut (for example, almonds, pecans, or walnuts);
- ii. In the case of Crustacean shellfish, it means the name of the species of Crustacean shellfish (for example, crab, lobster, or shrimp); and
- iii. The names "egg" and "peanuts", as well as the names of the different types of tree nuts, may be expressed in either the singular or plural form, and the term "soy", soybean", or "soya" may be used instead of "soybeans".
- v. A Cannabis Facts Panel containing the following information:
  - a. If the Cannabis Concentrate is a Non-Activated Concentrate, the Cannabis Facts Panel shall:
    - i. Include the percentage concentration of Effective THC by weight or by volume;
    - ii. Include the percentage concentration of each additional marketed cannabinoid and terpene by weight or by volume, if applicable;
    - iii. Specify the reference weight or volume (e.g., "Active ingredient in each gram"); and
    - iv. Be in substantially the same form as the following:

# Cannabis Facts Active ingredient in each gram Effective THC......15%

Visual Sample 2 – Cannabis Facts: Non-Activated Concentrates, Mandatory Information Only

# 

Visual Sample 2.1 – Cannabis Facts: Non-Activated Concentrate, Mandatory and Voluntary Information

- b. If the Cannabis Concentrate is a Medical-Use Activated Concentrate or an Adult-Use Single-Unit Activated Concentrate, the Cannabis Facts Panel shall:
  - i. Include the milligrams of Active THC per Package;
  - ii. Include the milligrams of each additional marketed cannabinoid and terpene per Package, if applicable;
  - iii. Include the term "Package" (e.g., "Active ingredient in each Package"), a substitute term that is appropriate for the Package type (e.g., "Active ingredient in each Bottle"), or a descriptive term for the product that is appropriate for the product type and enables a reasonable consumer to intuitively determine that the milligrams of Active THC listed on the Cannabis Facts Panel represents the total amount of Active THC in the product (e.g., Active ingredient in each Cannabis Oil Syringe"); and
  - iv. Be in substantially the same form as the following:

Cannabis Facts	
Active ingredient in each syringe	
Active THC9 mg	

Visual Sample 2.2 – Cannabis Facts: Single-Unit Adult-Use Activated Concentrate or Medical-Use Activated Concentrate, Mandatory Information Only

Cannabis Facts	
Active ingredient in each tub	
Active THC200 mg	
CBD50 mg	
CBG10 mg	

Visual Sample 2.3 – Cannabis Facts: Single-Unit Adult-Use Activated Concentrate or Medical-Use Activated Concentrate, Mandatory and Voluntary Information

- c. If the Cannabis Concentrate is an Adult-Use Multi-Unit Activated Concentrate, the Cannabis Facts Panel shall:
  - i. Include the milligrams of Active THC per Manufacturer-Specified Unit;
  - ii. Include the milligrams of each additional marketed cannabinoid and terpene per Manufacturer-Specified Unit, if applicable;
  - iii. Include a statement of the number of Units and the total milligrams of

#### Active THC in the Package;

- iv. Include a descriptive term for the Manufacturer-Specified Unit that is appropriate for the product type and enables a reasonable consumer to intuitively determine how much of the product is intended to be consumed or used on a single occasion [e.g. "Active ingredient per dropper (10 per bottle)."]. The descriptive term for the Manufacturer-Specified Unit used in the Cannabis Facts Panel shall be the same as the descriptive term for the Manufacturer-Specified Unit used in the Nutrition Facts Panel for a given product; and
- v. Be in substantially the same form as the following:

#### Cannabis Facts

Active ingredient in each dropper (10 per Bottle)

Active THC......7.5 mg (75 mg per Bottle)

Visual Sample 2.4 – Cannabis Facts: Multi-Unit Adult-Use Activated Concentrate, Mandatory Information Only, Format Option 1

# Cannabis Facts

Active ingredient in each dropper (10 per Bottle)

Active THC......7.5 mg (75 mg per Bottle)

CBD......10 mg

CBN......2 mg

Visual Sample 2.5 – Cannabis Facts: Multi-Unit Adult-Use Activated Concentrate, Mandatory and Voluntary Information, Format Option 1

#### Cannabis Facts

Active ingredient in each dropper

Active THC......9 mg

4 Droppers Per Bottle (36 mg Active THC Total)

Visual Sample 2.6 – Cannabis Facts: Multi-Unit Adult-Use Activated Concentrate, Mandatory Information Only, Format Option 2

#### Cannabis Facts

Active ingredient in each dropper

Active THC......9 mg

CBD......10 mg

4 Droppers Per Bottle (36 mg Active THC Total)

Visual Sample 2.7 – Cannabis Facts: Multi-Unit Adult-Use Activated Concentrate, Mandatory and Voluntary Information, Format Option 2

- vi. In addition to the general required warnings set forth in Section 1(C), each Package of Activated Concentrate that is intended to be cooked with, eaten, or otherwise swallowed and digested shall be labeled with following information:
  - a. "Activation times vary but may be up to two (2) hours when this product is eaten or swallowed."; or
  - b. An alternative statement of activation time that is specific to a product or product category, based on findings from research conducted by a Licensee or other entity on product activation time conducted in accordance with established scientific research standards, and approved by the Department for use within specified limits.

# §1.3 – Labeling Requirements: Ingestible Cannabis-Infused Products

- A. <u>Required Information.</u> In addition to the general labeling requirements set forth in Section 1(C), each Package of Ingestible Cannabis-Infused Product sold to a consumer shall be labeled with the following information:
  - i. The license number of the Licensee that manufactured the Ingestible Cannabis-Infused Product;
  - ii. If the product is an Edible Cannabis-Infused Product, a Nutrition Facts Panel designed in accordance with 21 CFR § 101.9(c) and (d), hereby incorporated by reference, except that:
    - a. "Manufacturer-Specified Unit" shall replace "Serving Size" in the incorporated regulations, except as otherwise specified in this section;
    - b. The term "Serving Size" on the Nutrition Facts Panel shall be replaced with "Recommended Single Portion" or "One Portion"; and
    - c. The word "Serving" in "Amount Per Serving" on the Nutrition Facts Panel shall be replaced with a descriptive term for the Manufacturer-Specified Unit that is appropriate for the product type and enables a reasonable consumer to intuitively determine how much of the product is intended to be consumed or used on a single occasion. The descriptive term for the Manufacturer-Specified Unit used in the Nutrition Facts Panel shall be the

same as the descriptive term for the Manufacturer-Specified Unit used in the Cannabis Facts Panel for a given product.

- iii. An Ingredients list that shall include all Ingredients in the Ingestible Cannabis-Infused Product listed by common or usual name in descending order of predominance by weight and the term "Cannabis" followed by the part of the plant (such as flower or trim) or form of concentrate (such as shatter, oil, or infused butter) used as input material in the manufacturing process, enclosed in parentheses.
  - a. The Ingredients list shall be located immediately below the Nutrition Facts panel.
  - b. Any residual solvent present in an Ingestible Cannabis-Infused Product in an amount that is less than or equal to the acceptable limit established in Department regulations and that is not intended to be part of the finished Ingestible Cannabis-Infused Product may be excluded from the Ingredients list.
  - c. Any substance that is present in an Ingestible Cannabis-Infused Product in an insignificant amount and does not have any technical or functional effect in the finished product may be excluded from the Ingredients list.
- iv. An Allergen statement that shall declare the presence of Major Food Allergens in plain language, using the name of the food source from which each Major Food Allergen is derived.
  - a. The Allergen statement shall be presented in the following manner:
    - i. In list form, following the word "Contains." For example, "Contains Milk, Wheat, Egg, and Walnuts"; or
    - ii. In the Ingredients list, in parentheses following the common or usual name of the ingredient that is derived from or contains the Major Food Allergen.
  - b. As used in this section, "name of the food source from which each major food allergen is derived" has the same meaning as in Section 1.2(A)(iv)(c).
- v. A Cannabis Facts Panel containing the following information:
  - a. If the Ingestible Cannabis-Infused Product is a Medical-Use Ingestible Cannabis-Infused Product or an Adult-Use Single-Unit Ingestible

Cannabis-Infused Product, the Cannabis Facts Panel shall:

- i. Include the milligrams of Active THC per Package;
- ii. Include the milligrams of each additional marketed cannabinoid and terpene per Package;
- iii. Include the term "Package" (e.g., "Active ingredient in each Package"), a substitute term that is appropriate for the Package type (e.g., "Active ingredient in each Bottle"), or a descriptive term for the product that is appropriate for the product type and enables a reasonable consumer to intuitively determine that the milligrams of Active THC listed on the Cannabis Facts Panel represents the total amount of Active THC in the product (e.g., "Active ingredient in each cookie"); and
- iv. Be in substantially the same form as the following:

#### Cannabis Facts

 Visual Sample 3 – Cannabis Facts: Adult-Use Single-Unit Ingestible Cannabis-Infused Product, Mandatory Information Only

#### Cannabis Facts

Active ingredient in each container

Active THC7.	5 mg
CBD10	) mg
CBN2	mg

Visual Sample 3.1 – Cannabis Facts: Adult-Use Single-Unit Ingestible Cannabis-Infused Product, Mandatory and Voluntary Information

# Cannabis Facts

Active ingredient in each package of cookies

Active THC......250 mg

Visual Sample 3.2 – Cannabis Facts: Medical-Use Ingestible Cannabis-Infused Product, Mandatory Information Only

#### Cannabis Facts

Visual Sample 3.3 – Cannabis Facts: Medical-Use Ingestible Cannabis-Infused Product, Mandatory and Voluntary Information

- b. If the Ingestible Cannabis-Infused Product is an Adult-Use Multi-Unit Ingestible Cannabis-Infused Product, the Cannabis Facts Panel shall:
  - i. Include the milligrams of Active THC per Manufacturer-Specified Unit;
  - ii. Include the milligrams of each additional marketed cannabinoid and terpene per Manufacturer-Specified Unit;
  - iii. Include a statement of the number of Units and the total milligrams of Active THC in the Package;
  - iv. Include a descriptive term for the Manufacturer-Specified Unit that is appropriate for the product type and enables a reasonable consumer to intuitively determine how much of the product is intended to be consumed or used on a single occasion [e.g., "Active ingredient in each lozenge (15 per container)" or "Active ingredient in each 10 ml capful" with a statement of the number of capfuls per bottle elsewhere in the Cannabis Facts Panel]. The descriptive term for the Manufacturer-Specified Unit used in the Cannabis Facts Panel shall be the same as the descriptive term for the Manufacturer-Specified Unit used in the Nutrition Facts Panel for a given product; and
  - v. Be in substantially the same form as the following:

#### Cannabis Facts

Active ingredient in each lozenge (15 per container)

Active THC.....5 mg (75 mg per container)

Visual Sample 3.4 – Cannabis Facts: Multi-Unit Ingestible Cannabis-Infused Product, Mandatory Information Only, Format Option 1

#### Cannabis Facts

Active ingredient in each lozenge (15 per container)

Active THC.....5 mg (75 mg per container)

CBG......0.5 mg

Visual Sample 3.5 – Cannabis Facts: Multi-Unit Ingestible Cannabis-Infused Product, Mandatory and Voluntary Information, Format Option 1

# Cannabis Facts

Active ingredient in each 10 ml capful

Active THC......7.5 mg

Four 10 ml Capfuls Per Bottle (30 mg of Active THC Total)

Visual Sample 3.6 — Cannabis Facts: Multi-Unit Liquid Ingestible Cannabis-Infused Product, Mandatory Information Only, Format Option 2

#### Cannabis Facts

Active ingredient in each 10 ml capful

Four 10 ml Capfuls Per Bottle (30 mg of Active THC Total)

Visual Sample 3.7 – Cannabis Facts: Multi-Unit Liquid Ingestible Cannabis-Infused Product, Mandatory and Voluntary Information, Format Option 2

- vi. In addition to the general required warnings set forth in Section 1(C), each Package of Ingestible Cannabis-Infused Product sold to a consumer shall be labeled with following information:
  - a. "Activation times vary but may be up to two (2) hours when this product is eaten or swallowed."; or
  - b. An alternative statement of activation time that is specific to a product or product category, based on findings from research conducted by a Licensee or other entity on product activation time in accordance with established scientific research standards, and approved by the Department for use within specified limits.
- vii. Consumption advice, if the Ingestible Cannabis-Infused Product is an Adult-Use Product. Consumption advice shall include the phrase "CONSUMPTION ADVICE:" followed by a statement that identifies the amount of product recommended for consumption on a single occasion, which shall be less than or equivalent to the Manufacturer-Specified Unit, and the minimum length of time that an Adult should wait before consuming another Unit.
  - a. Example: "CONSUMPTION ADVICE: Until you are familiar with the effects of this product, eat only one square of the chocolate bar and wait a minimum of 75 minutes before consuming another portion."
  - b. Consumption advice may include suggestions or identify resources for consumers who have accidentally over-consumed.
  - c. Consumption advice shall be in bold text and shall be located directly above, below, or next to the Cannabis Facts Panel.

# §1.4 – Labeling Requirements: Non-Ingestible Cannabis-Infused Products

- A. <u>Required Information.</u> In addition to the general labeling requirements set forth in Section 1(C), each Package of Non-Ingestible Cannabis-Infused Product sold to a consumer shall be labeled with the following information:
  - i. The license number of the Licensee that manufactured the Non-Ingestible Infused Product:
  - ii. An Ingredients list that shall include all Ingredients in the Non-Ingestible Cannabis-Infused Product listed by common or usual name in descending order of predominance by weight and the term "Cannabis" followed by the part of the plant (e.g., flower, trim) or form of concentrate (e.g., shatter, oil, infused butter) used as input material in the manufacturing process, enclosed in parentheses.
    - a. Any residual solvent present in a Non-Ingestible Cannabis-Infused Product in an amount that is less than or equal to the acceptable limit established in Department regulations and that is not intended to be part of the finished Non-Ingestible Cannabis-Infused Product may be excluded from the Ingredients list.
    - b. Any substance that is present in a Non-Ingestible Cannabis-Infused Product in an insignificant amount and does not have any technical or functional effect in the finished product may be excluded from the Ingredients list.
  - iii. A Cannabis Facts Panel containing the following information:
    - a. If the product is a Medical Non-Ingestible Cannabis-Infused Product, a Topical Cannabis-Infused Product, or an Adult-Use Single-Unit Transdermal Cannabis-Infused Product, the Cannabis Facts Panel shall:
      - i. Include the milligrams of Active THC per Package;
      - ii. Include the milligrams of each additional marketed cannabinoid and terpene per Package, if applicable;
      - iii. Include the term "Package" (e.g., "Active ingredient in each Package"), a substitute term that is appropriate for the Package type (e.g., "Active ingredient in each Jar"), or a descriptive term for the product that is appropriate for the product type and enables a reasonable consumer to

intuitively determine that the milligrams of Active THC listed on the Cannabis Facts Panel represents the total amount of Active THC in the product (e.g., "Active ingredient in each transdermal patch"); and

iv. Be in substantially the same form as the following:

# Cannabis Facts

Active ingredient in each package

Active THC......125 mg

Visual Sample 4 – Cannabis Facts: Topical Cannabis-Infused Product or Medical-Use Transdermal Cannabis-Infused Product, Mandatory Information Only

# Cannabis Facts

Active ingredient in each container

Visual Sample 4.1 — Cannabis Facts: Topical Cannabis-Infused Product or Medical-Use Transdermal Cannabis-Infused Product, Mandatory and Voluntary Information

# Cannabis Facts

Active ingredient in each transdermal patch
Active THC......10 mg

Visual Sample 4.2 – Cannabis Facts: Adult-Use Single-Unit Transdermal Cannabis-Infused Product, Mandatory Information Only

# Cannabis Facts

Visual Sample 4.3 – Cannabis Facts: Adult-Use Single-Unit Transdermal Cannabis-Infused Product, Mandatory and Voluntary Information

- b. If the product is an Adult-Use Multi-Unit Transdermal Cannabis-Infused Product, the Cannabis Facts Panel shall:
  - i. Include the milligrams of Active THC per Manufacturer-Specified Unit;
  - ii. Include the milligrams of each additional marketed cannabinoid and terpene per Manufacturer-Specified Unit, if applicable;
- iii. Include a statement of the number of Units and the total milligrams of Active THC in the Package;
- iv. Include a descriptive term for the Manufacturer-Specified Unit that is appropriate for the product type and enables a reasonable consumer to intuitively determine how much of the product is intended to be

consumed or used on a single occasion [e.g., "Active ingredient in each gel stamp (10 per container)" or "Active ingredient in each transdermal patch" with a statement of the number of patches per package elsewhere in the Cannabis Facts Panel]. The descriptive term for the Manufacturer-Specified Unit used in the Cannabis Facts Panel shall be the same as the descriptive term for the Manufacturer-Specified Unit used in the Nutrition Facts Panel for a given product; and

v. Be in substantially the same form as the following:

#### Cannabis Facts

 Visual Sample 4.4 — Cannabis Facts: Adult-Use Multi-Unit Transdermal Cannabis-Infused Product, Mandatory Information Only, Format Option 1

### Cannabis Facts

Visual Sample 4.5 – Cannabis Facts: Adult-Use Multi-Unit Transdermal Cannabis-Infused Product, Mandatory and Voluntary Information, Format Option 1

#### Cannabis Facts

Active ingredient in each gel stamp

Active THC......9 mg

10 Gel Stamps Per Container (90 mg of Active THC Total)

Visual Sample 4.6 – Cannabis Facts: Adult-Use Multi-Unit Transdermal Cannabis-Infused Product, Mandatory Information Only, Format Option 2

# Cannabis Facts

Active ingredient in each gel stamp

10 Gel Stamps Per Container (90 mg of Active THC Total)

Visual Sample 4.7 — Cannabis Facts: Adult-Use Multi-Unit Transdermal Cannabis-Infused Product, Mandatory and Voluntary Information, Format Option 2

### §2 - Packaging Requirements: General

- A. <u>Child-Resistant Packaging or Exit Bag Required.</u> A Cannabis Product packaged for sale to a consumer shall be in Child-Resistant Packaging or placed within a Child-Resistant Exit Bag at the point of sale, unless otherwise specified in this Section.
  - i. A Multi-Unit Product shall be packaged for sale to a consumer in Child-Resistant Packaging that is capable of being re-sealed and made Child-Resistant again at least as many times as the number of Units in the product.
  - ii. Upon receipt of a signed, written request from an elderly or disabled person attesting that the individual experiences significant difficulty opening Child-Resistant containers, a Licensee may dispense a Medical-Use Product to the affected person in Packaging that is not Child-Resistant and need not place the non-compliant Packages in an Exit Bag at the point of sale. The Licensee shall maintain copies of the signed, written requests and an associated sales transaction history. Each non-compliant Package shall be conspicuously labeled with one of the following warnings:
    - a. "This Package is intended for Households Without Young Children."; or
    - b. "Package Not Child-Resistant." 58
- B. <u>Opaque Packaging Required.</u> All Cannabis Products packaged for sale to a consumer shall be in Opaque Packaging.
- C. <u>Packaging Shall Protect from Contamination.</u> Cannabis Product Packaging shall protect the product from contamination and shall not impart any toxic or deleterious substance to the Cannabis Product.
- D. <u>Packaging that Primarily Appeals to Minors Prohibited.</u> Cannabis Product Packaging shall not primarily appeal to minors.
  - Packaging that primarily appeals to minors includes, without limitation,
     Packaging that:
    - a. Depicts a minor;

b. Portrays objects, images, celebrities, or cartoon figures that primarily

60

<sup>&</sup>lt;sup>58</sup> Adapted from an exemption to the special packaging requirements established under the federal Poison Prevention Packaging Act of 1970. See 16 CFR § 1700.5.

- appeal to minors or are commonly used to market products to minors; or
- c. Otherwise has special attractiveness for minors beyond the general attractiveness for Adults.
- ii. As used in this section, "minor" means an individual under the age of twenty-one (21) when used in reference to an Adult-Use Cannabis Product or an individual under the age of eighteen (18) when used in reference to a Medical Cannabis Product.
- iii. As used in this section, "cartoon" means any drawing or other depiction of an object, person, animal, creature or any similar caricature that satisfies any of the following criteria:
  - a. The use of comically exaggerated features;
  - b. The attribution of human characteristics to animals, plants or other objects, or the similar use of anthropomorphic technique; or
  - c. The attribution of unnatural or extra-human abilities, such as imperviousness to pain or injury, X-ray vision, tunneling at very high speeds or transformation.
- E. <u>Reasonable Resemblance to Trademarked Products Prohibited.</u> Cannabis Product Packaging shall not bear any reasonable resemblance to the trademarked or characteristic Packaging of any commercially available candy, snack, baked good, or beverage.
- F. <u>Unit Demarcation or Separation.</u> Each Unit in a Multi-Unit Product packaged for sale to an Adult consumer shall be physically demarcated in a manner that enables a reasonable Adult consumer to intuitively determine how much of the product constitutes one Unit of the product.
  - i. Each demarcated Unit of a product shall be easily separable in order to allow an average Adult to physically separate, with minimal effort, individual Units of the product.
  - ii. A liquid Multi-Unit Ingestible Cannabis-Infused Product shall be sold to an Adult consumer in Packaging that contains an instrument that enables a reasonable Adult consumer to intuitively measure one Unit of the liquid product. Permissible liquid Unit measuring instruments include, without limitation:
    - a. A measuring instrument that is within the cap or closure of the Immediate

#### Container; and

- b. Hash marks and other forms of physical demarcation on the Package containing the liquid Ingestible Cannabis-Infused Product, provided that the demarcation is on the Immediate Container or a component of the Packaging that is not easily removable.
- iii. An Activated Concentrate, Edible Cannabis-Infused Product, Transmucosal Cannabis-Infused Product, or Transdermal Cannabis-Infused Product that is intended for an Adult consumer and is of a type that is impractical to clearly demarcate or easily separate into Single-Unit portions shall contain no more than [X milligrams]<sup>59</sup> of Active THC per Package.

\_

 $<sup>^{59}</sup>$  "[X milligrams]" is a placeholder for a state-imposed limit on per-unit Active THC content. See <u>this section of the Appendix</u> for more information about state policy regarding THC potency caps.

#### **APPENDIX**

# **Discussion: State-Imposed THC Potency Limits**

Adult-use regulations in Colorado, Washington, Oregon, and Alaska impose a cap on the maximum allowable amount of THC per serving, or single "unit" of ingestible cannabis-infused products. Oregon's cap of 5 mg of THC per unit is currently the lowest in the nation, whereas a 10 mg cap per unit is the most commonly utilized approach. Many states also impose caps on the total amount of THC that may be contained within a multi-unit cannabis-infused product. Oregon, taking the most conservative approach, caps multi-unit cannabis-infused edibles at 50 mg of THC; however, a cap of 100 mg of THC per multi-unit cannabis-infused product remains the industry norm in adult-use markets.

Although it has been argued that potency caps unduly limit consumer choices, state regulators have defended the implementation of these policies as a preventative measure against accidental and over-consumption of THC. These provisions are imposed primarily in response to cases of accidental over-ingestion of THC resulting from the availability of small, high-potency Cannabis Products, coupled with a lack of consumer education in edibles potency and proper administration.

In addition to legislative intervention, the cannabis industry has attempted to address these public safety issues from an educational standpoint. The "Start Low & Go Slow" and the "First Time 5" Campaigns seek to educate consumers about best practices for first-time infused-product use, and to encourage new users on how to consume cannabis safely and responsibly. These types of informational tools are often provided by dispensaries at the point-of-sale, or displayed as informational videos in waiting rooms that provide links to additional online resources. Notwithstanding the different approaches taken to address these concerns, there is industry-wide agreement that responsible cannabis-infused product consumption begins with an informed consumer.

Participants in the cannabis industry and the various experts we consulted supported clear labeling of the amount of THC in a single-use unit of an adult-use ingestible infused product. Cannabis industry participants and experts also supported the imposition of a cap on the permissible amount of THC that a Cannabis Product may contain; however, there was a lack of consensus concerning the appropriate numerical limitation.

Although, nearly 50% of respondents thought 10 mg of THC was an appropriate industry-wide standard for a single-use unit of an adult-use ingestible cannabis-infused product, 43% of the total respondents were against the imposition of a cap on the

amount of THC that may be contained within a multi-unit cannabis-infused product. For multi-unit cannabis-infused products, 20% of preferred a 100 mg cap, 17.7% preferred a 200 mg cap, and 6.2% responded in favor of a 50 mg cap.

Our discussions with cannabis infused product manufacturers and dispensaries indicated that consumers are often looking for higher potency products but that this trend appears to be changing over time. For some people, 10 mg of THC is a comfortable amount; for others, especially novice users, it can be a bit too much. Because cannabis products affect people differently, more research is required before a model potency cap can be suggested. As the adult-use market matures we will develop a better understanding as to what is the most appropriate per unit potency of ingestible and transdermal cannabis-infused products; however, at this time, we recommend each state consider these factors while drafting regulations and to select the per-unit potency cap determined to be appropriate in accordance with stakeholder interests and the scientific evidence currently available.

Please note, that a THC potency cap should not be required for topical cannabis-infused products because they are not psychoactive, nor should medical cannabis-infused products because of the varying potency needs of medical cannabis patients.

<sup>&</sup>lt;sup>60</sup> A majority of those in opposition to an industry-wide standard serving of THC operate only in California's largely unregulated (at the time of survey circulation) medical cannabis market.

# **Survey Findings**

#### **Respondent Characteristics**

We received a total of 178 responses, 121 (67.9%) of which were complete. Those that did not complete the survey stopped at different points, so we kept all participants with incomplete responses in the dataset and excluded them from analysis on a pairwise basis. This means that the population size varies across analyses.

#### Nature of Business

Ancillary or other was the most common response in the survey population. Of the four plant-touching business types (cultivation, extraction, manufacturing, and dispensing; referred to collectively as "industry" hereafter), manufacturing was the most common type among respondents, followed by cultivation, dispensing, and extraction. Only 1.7%, or 3 respondents, were not involved in cannabis industry or ancillary business. All three did not complete many survey questions and were excluded from statistical analysis. See Table 1 and Chart 1.

### State(s) of Operation

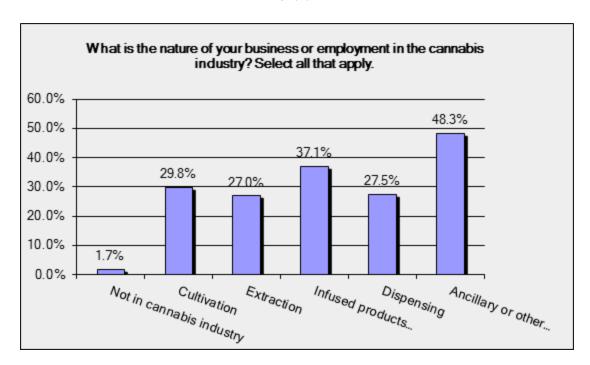
Respondents were asked to select all states in which the respondent owns, operates, or is employed by a cannabis business. The top five most common states were Colorado, California, Washington, Oregon, and Nevada. Out of 178 respondents, 172 were reportedly operating in at least one state or U.S. territory that had legalized psychoactive forms of cannabis for medical or adult use (as of May 2016). See <u>Figure 1</u>.

Table 1

What is the nature of your business or employment in the cannabis industry? Select all that apply.

Answer Options	Response Percent	Response Count
Not in cannabis	1.7%	3
Cultivation	29.8%	53
Extraction	27.0%	48
Infused products manufacturing	37.1%	66
Dispensing	27.5%	49
Ancillary or other (please explain)	48.3%	86
	answered question	178
	skipped auestion	0

Chart 1



# **Product Tracking**

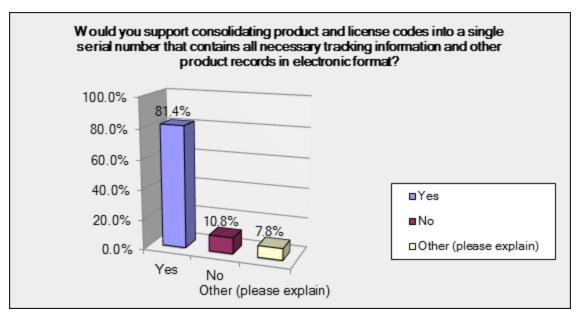
A strong majority of respondents were in favor of industry-wide standardization of the format of product tracking or identification codes.

Chart 2



The overwhelming majority of respondents would **support consolidating product and license codes into a single serial number,** given that the single serial number would contain all necessary tracking information and allow access to product records electronically.

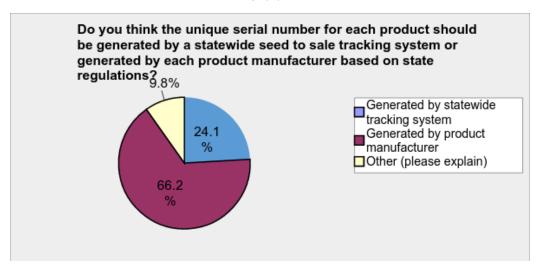
Chart 3



Most individuals surveyed (66.2%) believed that the unique serial number for each

product should be generated by each product manufacturer based on state regulations as opposed to generated by a statewide tracking system (see Chart 4).

Chart 4

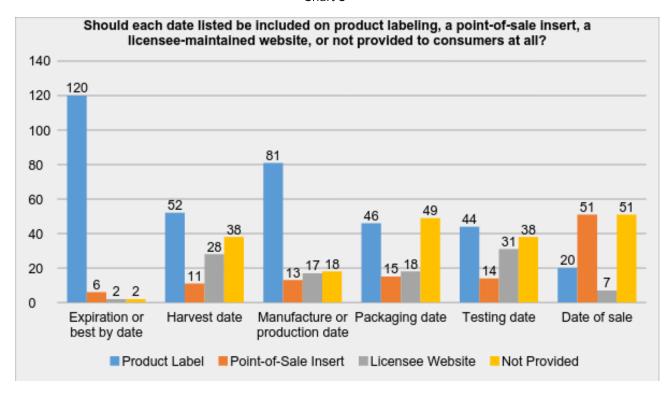


No statistically significant relationships were found in follow-up analyses, but we found an interesting trend in response: all but one respondent who worked solely in infused product manufacturing thought the product manufacturer should be responsible for serial number generation.

#### **Dates**

There was clear majority support (92.3%) for including an expiration or best by date on product labeling, but there was less clarity in preference for the remaining date options. Further analysis indicated that state of operation and business characteristics are related to opinion on how manufacture/production date, testing date, packaging date, and date of sale should be provided. The significant findings for each date are summarized below.

Chart 5



#### Manufacture or Production Date

There was evidence of an association between industry involvement and opinion on how manufacture/production date should be provided. Support for not providing a manufacture/production was more than three times greater among respondents in the cannabis industry (i.e., in cannabis cultivation, extraction, manufacturing, and/or dispensing) than those not in the industry. Based on our discussions with industry, we believe that industry operators support an expiration or best by date in the interest of alignment with requirements for other products and that the manufacture or production date serves essentially the same purpose and is therefore redundant when expiration or best by date is already required.

#### **Packaging Date**

Fisher's exact tests demonstrated statistically significant relationships between opinion on how packaging date should be provided to consumers and operating in New York (p<.05), operating in Maryland (p<.05), and involvement infused products manufacturing (p<.01). See frequencies and column percentages in Table 2.

A strong majority of New York operators (69%) and Maryland operators (71%) thought packaging date should be listed on product labeling. Less than a third of the non-New York and non-Maryland groups selected product labeling; instead, they tended to think packaging date should not be provided at all. We believe that these differences were found because Maryland and New York were among the most highly-regulated cannabis programs in the nation and were either non-operational or newly operational at the time of survey collection. Operators from other more established states seemed tended not to see packaging date as a labeling necessity.

A large portion (58%) of manufacturers thought packaging date should not be provided, while only about a quarter of those not in manufacturing agreed. We believe this is due to experience, but know that some manufacturers may support packaging date when used to aid in lot identification. With proper unique identification of lots and with an expiration or best by date in place, packaging date would no longer be necessary.

Table 2: Packaging Date Response Distribution Across Key Groups

Preferred Location:	New York	Maryland	Industry	Manufacturing
Not Provided	3	2	37	29
	18.75%	14.29%	47.44%	58%
Product Label	11	10	25	16
	68.75%	71.43%	32.05%	32.00%
Point-of-Sale Insert	1	0	6	4
	6.25%	0.00%	7.69%	8.00%
Licensee Website	1	2	10	1
	6.25%	14.29%	12.82%	2.00%
Total	16	14	78	50
	100%	100%	100%	2.00%

Key
Frequency
Column Percentage

#### **Testing Date**

Fisher's exact tests showed that opinion on testing date is related to involvement in industry (p<.01) and involvement in infused product manufacturing (p<.01). Industry was more supportive of not providing testing date than non-industry (40% vs. 14%). Involvement in manufacturing was also related to opinion on testing date; a majority (50%) of manufacturers thought testing date should not be provided. However, the relationship between industry and opinion on testing date remained significant when controlling for manufacturing. In fact, response patterns differed substantially between industry members not involved in manufacturing (i.e., cultivators, extractors, and dispensers) and manufacturers (see Table 3), and also between each sub group and non-industry. In general, industry did not support mandatory labeling or products with a testing date.

This may be related to a shift towards process validation in state cannabis programs, which allows licensees to test less frequently when they can demonstrate the consistent ability to produce products that pass all mandatory tests. The issue is that not every batch or lot is tested when a company is process validated, so labeling a product with a testing date from several months back may confuse consumers. Furthermore, the testing date is not considered to provide particularly useful information for consumers.

Table 3: Testing Date Response Distribution in Industry and Industry Sub-Groups

Preferred Location:	Industry	Manufacturers	Non-Manufacturers
Not Provided	31	25	6
	40.26%	50.00%	22.22%
Product Label	21	11	10
	27.27%	22.00%	37.04%
Point-of-Sale Insert	4	4	0
	5.19%	8.00%	0.00%
Licensee Website	21	10	11
	27.27%	20.00%	40.74%
Total	77	50	27
	100%	100%	100%

Key
Frequency
Column Percentage

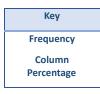
#### Date of Sale

Fisher's exact tests showed that opinions on how date of sale should be provided to consumers are distributed differently between those operating and those not operating in New York (p<.05), Massachusetts (p<.01), Maryland (p<.01), Colorado (p<.05), California (p<.05), and Arizona (p<.01). See Table 4.

Support for not providing a date of sale was greatest among California operators and lowest in Maryland. This is interesting considering California's long-standing but largely unregulated industry in comparison to Maryland's tightly regulated, not yet operational industry. Support for including date of sale on a product label was lowest in Colorado and California, which both have a large, established market, but differ in terms of experience with regulation. This suggests that more experienced operators tend not to think a date of sale is necessary.

Table 4: Date of Sale Response Distribution by State

Preferred Location:	New York	Massachusetts	Maryland	Colorado	California	Arizona
Not Provided	3	4	1	19	27	4
	18.75%	21.05%	7.14%	29.69%	45.76%	21.05%
Product Label	6	8	8	9	11	8
	37.50%	42.11%	57.14%	14.06%	18.64%	42.11%
Point-of-Sale	7	7	5	34	16	5
Insert	43.75%	36.84%	35.71%	53.12%	27.12%	26.32%
Licensee	0	0	0	2	5	2
Website	0.00%	0.00%	0.00%	3.12%	8.47%	10.53%
Total	16	19	14	64	59	19
	100%	100%	100%	100%	100%	100%



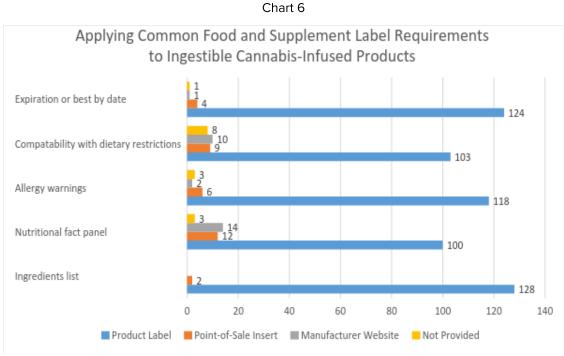
### Interpretation of Date Results

In sum, we found that opinions on whether various dates provide important information for consumers and where the relevant dates should be listed differ across states and business types. Taken as a whole, the state findings could point to more general differences in the policy objectives of operators in newly regulated states and those in established states, or between medical and adult-use market participants. Infused product manufacturers appear to be the biggest advocates for eliminating dates other than expiration or best by dates from product labels, which could reflect the greater regulatory burden experienced by manufacturers as a result of the more extensive labeling requirements imposed on infused products.

Expiration or best by date provides the most useful information for consumers and is used on a variety of non-cannabis products so we agree with the dominant support among cannabis industry and ancillary businesses for requiring this item on product labeling.

# Common Food and Dietary Supplement Labeling Requirements

The survey population (n=130) overwhelmingly thought ingestible infused product labeling should include items commonly required for food and dietary supplements. See Chart 6.



# Storage, Handling and Use – Ingestibles and Non-Ingestibles

A majority of survey participants (n=130) thought it should be required for both ingestible and non-ingestible infused product labels to contain a refrigeration statement if appropriate for the product, product storage instructions, product handling instructions, and directions for use. As shown in Chart 7 on the following page, there was greater support for requiring these items on product labeling for ingestibles than non-ingestibles.

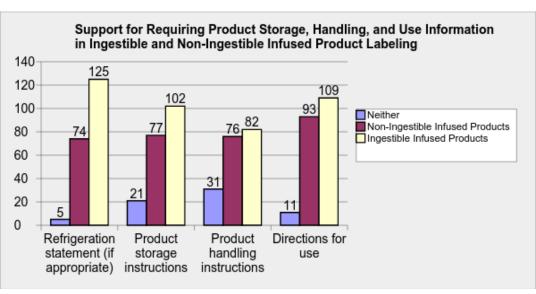
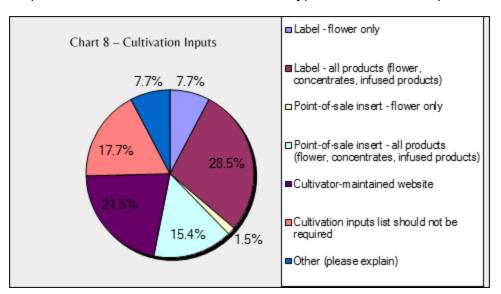


Chart 7

### **Cultivation Inputs**

82% of respondents (n=130) believed that disclosure of cultivation inputs (e.g., pesticides, media, fungicides, etc.) should be mandatory, including 77% of owners and employees of plant-touching businesses and 90% of ancillary business operators. As shown in Chart 8, opinions on the proper format for such disclosure varied. Further analysis revealed that response patterns differed for certain business types and states of operation.



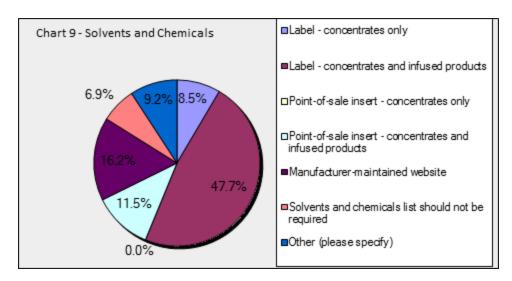
Industry participants were more than twice as likely to think that cultivation inputs should not be required than non-industry respondents (23% vs. 10%). More cultivators supported this position than non-cultivators, and the same was observed between manufacturers and non-manufacturers. Cultivation inputs are not required for any other federally regulated product, so opposition among cultivators and industry participants generally is actually consistent with federal policy. Their opposition reflects, at least in part, a desire to reach a point where cannabis is held to no higher standard than comparable products.

Non-manufacturers were more than twice as likely than manufacturers to think that cultivation inputs should be required on label for all products (37% vs. 16%), but cultivators and non-cultivators showed similar levels of support for this option (27% vs. 29%).

#### Chemicals and Solvents

91% of those surveyed thought it should be required to disclose all solvents and chemicals used during concentrate production, but opinions differed on where this information should be provided. Less than half (48%) favored requiring a solvents and chemicals list on product labeling for both concentrates and infused products. A manufacturer-maintained website was the second most common response, but only 16% of respondents selected this option. See Chart 9.

Only 6.9% of respondents believed that a solvents and chemicals list should not be required, despite the fact that under federal law, dietary supplement and drug labels are only required to list solvents and chemicals if they are intended to be a part of the finished product, and even then, the substance is listed along with the other ingredients.



# Contaminant Testing on Labels

A clear majority (79%) thought contaminant testing results should be provided to consumers, whether on product labeling or a licensee-maintained website, point-of-sale insert, or upon request instead. Upon request was the most well-supported option (36.9%). Only a small minority of those involved in cannabis business (12%) thought contaminant testing information should not be required. See Table 5 for more detail.

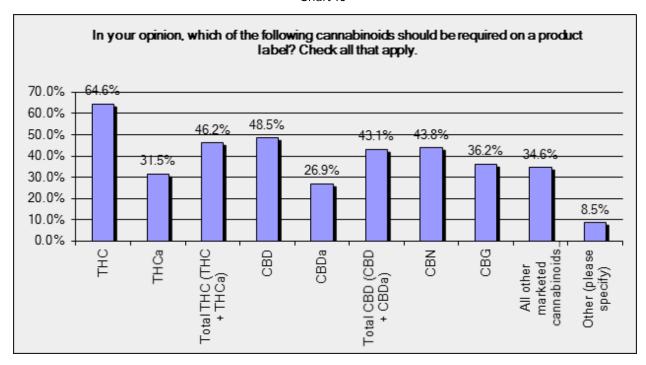
Table 5: Distribution of Responses Concerning Contaminant Testing Statement

If a product is subject to state mandatory contaminant test the product with the results of that test? Select one.	sting, should it be red	quired to label
Answer Options	Response Percent	Response Count
Yes- label should include a comprehensive list of all contaminant tests conducted	11.5%	15
Yes- label should include a generic statement of compliance with testing requirements	30.8%	40
No- not on label, but on website, insert, or upon request	36.9%	48
No- should not be required	13.8%	18
Other (please explain)	6.9%	9
an	swered question	130
s	kipped question	48

# **Potency Labeling**

Respondents largely believed that THC should be required on a product label, but there was no clear majority support for the remaining cannabinoids (Chart 10). We conducted follow-up analyses to assess group difference in the number and groups of cannabinoids selected, and though there were significant differences between cannabis business types, they did not yield any relevant policy insights.

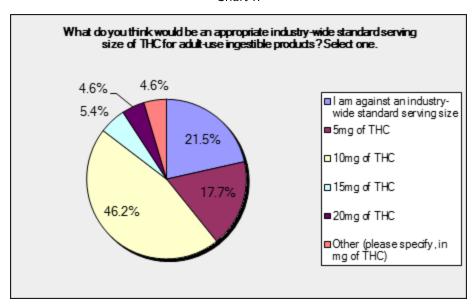
Chart 10



# Industry-Wide Standard Serving for Adult-Use Ingestible Infused Products

Nearly 50% of respondents thought 10 mg of THC is an appropriate industry-wide standard serving size (otherwise referred to in this paper as a single-unit quantity) for adult-use ingestible cannabis-infused products. This was a majority position in four of the five states we looked at individually: Nevada, Colorado, Washington, and Oregon. The high levels of support for a 10 mg serving size are unsurprising because it is already in place in most legal, regulated adult-use cannabis markets, but the fact that nearly 22% of survey respondents opposed an industry-wide standard serving of THC required further analysis.

Chart 11



A majority of those in opposition to an industry-wide standard serving of THC operate only in California's largely unregulated (at the time of survey circulation) medical cannabis market. There is no cap on single-unit product potency in California, which is appropriate because operators only serve patients with debilitating medical conditions. The relationship between operating in California and opinion on industry-wide standard serving size was found to be significant (p<.01), where opposition was found to be greater among California operators (38%) than those operating in any other legal medical cannabis state (19%). Those operating exclusively in Colorado's highly regulated market, where the 10 mg serving size standardization originated, were much less likely to oppose an industry-wide standard serving size than operators in other legal states (5% vs. 33%, respectively). Rather, 71% of Colorado-only operators supported a 10 mg serving size.

These examples suggest that the regulatory environment in which a business operates greatly influences opinions concerning optimal regulation, and therefore why geographic diversity was so important in this survey and project as a whole. Though one could suggest that the fact that California operators only serve medical patients influenced their responses to standard potency limits, Nevada has a state-regulated medical-only cannabis industry, and yet most respondents from Nevada supported a 10 mg industry-wide standard serving of THC. As such, it appears that the degree of state regulation that operators are used to influences operator opinions on state regulatory policy.

Regardless, approximately 20% of operators from Oregon, Washington, Colorado, and California supported a standard serving size of 5 mg of THC, which is lower than usual

but is the standard in Oregon. This finding, along with the high levels of support for potency limits generally, seems to illustrate what we've heard from industry and regulators alike: cannabis businesses often support more conservative or stringent regulations in the interest of protecting the health and safety of consumers and the public.

## Total THC Cap in Adult-Use Multi-Unit Ingestible Infused Products

As shown in Chart 12, 43% of those surveyed thought there should be no cap on the amount of THC in an adult-use ingestible infused product intended for use on more than one occasion. 20% supported a cap of 100 mg of THC per multi-unit package while 17.7% supported 200 mg.

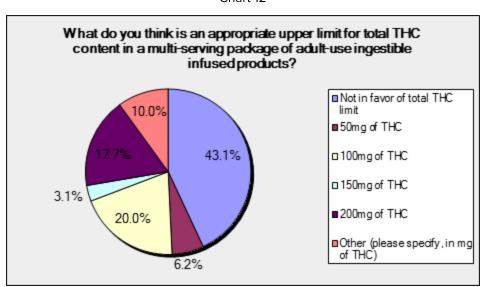


Chart 12

We conducted additional analyses to ascertain whether opposition to a total THC cap was concentrated in certain groups or distributed evenly. California operators were found to constitute 45% of the support for no THC cap and Colorado operators contributed 41%. Though California and Colorado operators comprised much of our survey population, it is interesting that cannabis businesses in California that are not subject to state regulation (at the time of survey) and those operating under Colorado's extensive rules make up the two largest sources of opposition to a cap on THC in multi-unit ingestible products. The alignment of opinion between operators of these states is also notable given that these two states were at odds on whether a standard serving of THC is necessary. Colorado respondents who opposed a total THC cap may believe that the mandatory demarcation,

and now stamping, of each serving containing up to 10 mg of THC each is sufficiently restrictive to achieve the intended effect.

# Demarcation or Scoring of Single Unit in Solid Ingestible Infused Products

82% of respondents thought regulations should require that each individual serving (or "unit," according to our terminology) within a multi-serving ("multi-unit") solid ingestible infused product be demarcated or scored so they are easily observed and separable.

# Measurement Device for Multi-Unit Liquid Infused Products

69% of all respondents thought regulations should require that all multi-unit (referred to in some states as "multi-serving" or some variation thereof) liquid ingestible infused products come with a serving size measurement device or precise individual serving delivery mechanism. There was majority support among those involved in cannabis industry, plant-touching businesses as well as ancillary businesses.

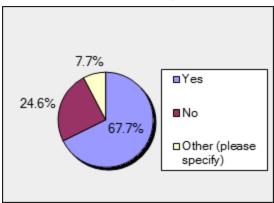
Within industry, relationships were found between type of business (e.g., manufacturing, dispensing, etc.) and opinion on whether a liquid measuring device should be required. Opposition to requiring a liquid measurement device was greater among manufacturers than non-manufacturers, and greater among dispensers than non-dispensers. Manufacturers and dispensers were split about evenly between those in favor and those opposed, whereas non-manufacturers and non-dispensers showed a clear majority preference, about 75% in both cases, for requiring liquid measurement device. We did ask manufacturers and dispensers in opposition about their reasoning, but it could be due to concerns of cost or space, or because these individuals support an alternative measure for determining liquid serving size, like serving demarcation on the exterior of the product container.

Operators in both Washington and Colorado showed strong majority support for requiring liquid measurement devices. California and Oregon showed lower levels of support, but maintained a strong majority in favor of requiring a liquid measurement device. The higher levels of support among Washington and Colorado operators may be explained by the fact that these states both required liquid measurement devices at the time, whereas California and Oregon did not. This supports our theory that cannabis businesses not only adapt to the particular regulations governing their operation, but tend to express preference for the familiar.

#### Alcohol Proof

Most respondents (68%) were in favor of an alcohol proof system for liquid ingestible infused products, in which liquid ingestible proof would be a measure of milligrams of THC per ounce of liquid.<sup>61</sup>

#### Chart 13 - Proof System



# Industry-Wide Universal Symbol

Over three quarters (78%) of survey respondents (n=130) would support an industry-wide universal symbol, used on product packaging to indicate that a product contains cannabis.

# Same or Different Universal Symbols for Medical and Adult-Use Products

Most participants (68%) felt there should be a single universal symbol for both medical and adult-use products, as opposed to a distinct universal symbol for each (30%).

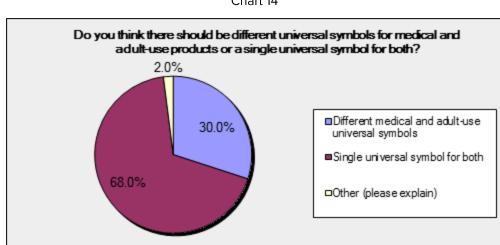


Chart 14

<sup>&</sup>lt;sup>61</sup> We regret that we were not able to secure Committee agreement to develop and recommend appropriate language for a liquid cannabis proof system during this project but CRCR still holds it as a policy goal for the future. CRCR encourages regulators and stakeholders to work towards developing a liquid cannabis product potency model based on alcohol proof and to reach out for assistance at any point in the process.

### Ideas for Universal Symbol

54 participants gave qualitative feedback regarding what a universal symbol should look like. We found that responses typically included one or more of the following: a cannabis leaf, cross (usually green), or text. The most common symbol was a cannabis leaf, which was mentioned in 23 out of 54 responses (42.59%). 31.48% of responses (17 total) included letter or text and 11.11% (6 total) included a cross (five green, one red). The most common letters and phrases were "THC" and "Contains Cannabis". Many responses included a shape, such as a triangle, square, or circle, in addition to text, a cannabis leaf, and/or a cross.15 out of 54 responses did not include a cannabis leaf, text, or a cross and were therefore marked as "other". "Other" responses were often more general, and provided basic guidelines such as "simple and clear" or "no poison-like or Mr. Yuk symbol".

See <u>Figure 2</u> for the full text of responses and their categorization. Considering the responses as a whole, it appears that a cannabis leaf with "Contains Cannabis" is a good compromise that most individuals working in the cannabis space would consider reasonable.

#### **Product Activation Time**

Approximately three quarters (76%) of survey respondents (for this question, n=121) thought ingestible infused product labels should be required to include information about product activation time.

#### Warning Statements

We provided a list of warning statements and asked each participant to select the statements that s/he thought should be required on product labeling for each of four product types: flower, concentrates, ingestible infused products, and non-ingestible infused products. Table 6 includes the number and percentage of respondents who thought each warning statement should be required for the four product types.

Members of industry and ancillary operators generally thought mandatory warning statements should differ by product type. There was majority support for requiring seven warning statements on flower labels, eight on concentrate labels, 10 on ingestible infused product labels, and only five on non-ingestible infused products. This suggests that cannabis businesses think requirements should be most stringent for ingestibles and

least stringent for non-ingestibles. However, at least some participants thought all non-ingestible infused products were non-psychoactive (accurate, except for certain transdermals), which renders the group position on required warning statements for non-ingestibles ambiguous. Regardless, it is important for rulemaking committees to consider both product type and presence or absence of psychoactive effect when assigning mandatory warning statements.

# In particular, we found that:

- A majority of respondents thought all product labels should be required to include
  a statement about keeping the product out of reach of children and pets, that the
  (medical) product is for medical use only, and that the (adult-use) product is for use
  by adults 21 years and older only.
- A majority of respondents thought flower, concentrate, and ingestible product labels should be required to include a statement about intoxicating effects and a warning not to drive or operate machinery while under the influence of cannabis.
- Respondents were almost evenly split on whether all product labels should be required to include a statement that the product may be unlawful outside of the state of purchase and a warning that the product has not been analyzed or approved by the FDA.
- A majority of respondents did not think that all products should be required to include a warning that the product may be habit forming, that health risks may be associated with consumption, or that there may be additional health risks associated with consumption for pregnant or breastfeeding women. It is worth noting that warnings concerning use during pregnancy and the potential for health problems are both required for alcoholic beverages. We believe that the lack of cannabis industry support for these warnings on cannabis products is at least partially due to the fact that there is not sufficient evidence at present to support claims about effects of cannabis on pregnancy and health. More research is needed into cannabis-related health effects, and we recommend that legal cannabis states consider establishing a committee or other entity to regularly review the available evidence and make recommendations for modifying mandatory cannabis warning statements accordingly, as Colorado has done.

-

<sup>&</sup>lt;sup>62</sup> See the Colorado Department of Public Health and Environment's Retail Marijuana Public Health Advisory Committee webpage here for more information: https://www.colorado.gov/pacific/cdphe/scientific-literature-review-marijuana-related-health-effects

Table 6 – Warning Statements by Product Type

Warning Statements	Flower	Concentrates	Ingestible Infused Products	Non-Ingestible Infused Products
This product may have intoxicating effects.	77	79	87	55
	(63.63%)	(65.29%)	(71.90%)	(45.45%)
Contains a concentrated form of cannabis/marijuana.	13	83	81	57
	(10.74%)	(68.60%)	(66.94%)	(47.11%)
This product is infused with cannabis/marijuana.	13	45	95	79
	(10.74%)	(37.19%)	(78.51%)	(65.29%)
The intoxicating effects of this product may be delayed by two or more	9	31	104	30
hours when eaten or swallowed.	(7.44%)	(25.62%)	(85.95%)	(24.79%)
Do not drive or operate heavy machinery while under the influence of	82	85	90	50
cannabis.	(67.77%)	(70.25%)	(74.38%)	(41.32%)
For use only by adults twenty-one and over. (adult-use products only)	83	87	89	64
	(68.60%)	(71.90%)	(73.55%)	(52.89%)
For medical use only. (medical products only)	68	75	78	66
	(56.20%)	(61.98%)	(64.46%)	(54.55%)
Keep out of reach of children.	80	83	87	76
	(66.12%)	(68.60%)	(71.90%)	(62.81%)
Keep out of reach of children and pets.	79	83	86	75
	(65.29%)	(68.60%)	(71.07%)	(61.98%)
This product may be habit forming.	16	19	19	9
	(13.22%)	(15.70%)	(15.70%)	(7.44%)
There may be health risks associated with the consumption of this product.	35	38	39	27
	(28.93%)	(31.40%)	(32.23%)	(22.31%)
There may be additional health risks associated with the consumption of	52	52	54	43
this product for women who are pregnant, breastfeeding, or planning on becoming pregnant.	(42.98%)	(42.98%)	(44.63%)	(35.54%)
May be unlawful outside of [STATE].	64	65	65	59
	(52.89%)	(53.72%)	(53.72%)	(48.76%)
This product has not been analyzed or approved by the FDA.	49	52	56	55
	(40.50%)	(42.98%)	(46.28%)	(45.45%)
			Answered	d Question: 121

Key
Frequency
Column Percentage

# Child-Resistant Packaging by Product Type

The survey population generally supported mandatory child-resistant packaging, though the degree of support varied by product type. Respondent opinions on whether child-resistant packaging should be required varied by product type, but the survey population generally supported mandatory child-resistant packaging. Chart 15 summarizes the distribution of responses.

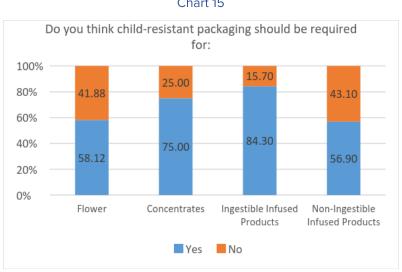


Chart 15

Welch's exact tests revealed relationships between business types/sectors and opinion on whether child-resistant packaging should be required for various products. The most interesting findings are summarized here:

- Industry-only operators were split 50/50 on whether child-resistant packaging should be required for flower. Support for eliminating child-resistant packaging requirements for flower was much greater among those working solely in plant-touching businesses than those involved in ancillary business only (see Table 7).
- Support of mandatory child-resistant packaging for non-ingestibles was much higher among ancillary operators than industry. A majority of industry thought child-resistant packaging should NOT be required for non-ingestible infused products (see Table 7).
- Ancillary business includes packaging companies, who conceivably profit from child-resistant packaging mandates. Regardless of product type, all packaging manufacturers thought child-resistant packaging should be required. Though there were only seven, all were unanimous in their support.

 A greater percentage of manufacturers favored eliminating mandatory child-resistant packaging for non-ingestibles than those not involved in manufacturing (see Table 8). A majority of manufacturers thought child-resistant packaging should not be required for non-ingestibles, which suggests that manufacturers may have been driving industry's significant results.

Table 7 – Industry vs. Ancillary Support for Mandatory Child-Resistant Packaging: Flower and Non-Ingestibles

	Ingestible Inf	used Products	Non-Ingestible Infused Products		
	Ancillary Only	Industry Only	Ancillary Only Industry On		
Yes	35	29	34	30	
(Required)	68.63%	50.00%	72.34%	50.00%	
No (Not	16	29	13	30	
Required)	31.37%	50.00%	27.66%	50.00%	
Total	51	58	47	60	
Total	100%	100%	100%	100%	

Key
Frequency
Column Percentage

Table 8 – Manufacturer vs. Non-Manufacturer Support for Child-Resistant Packaging: Non-Ingestibles

	Non-Manufacturer	Manufacturer
Yes (Required)	48	18
ies (neguireu)	66.67%	40.91%
No (Not Required)	24	26
No (Not Requirea)	33.33%	59.09%
Total	72	44
iotai	100%	100%

Key
Frequency
Column Percentage

# Child-Resistant Exit Bag vs. Child-Resistant Product Packaging

A majority of respondents (54%) were opposed to requiring child-resistant exit bag for ingestible infused products as an alternative to mandatory child-resistant product packaging, while about a third of respondents (34%) supported this idea and a small portion (12%) were unsure.

### Packaging and Labeling Pre-Approval by Regulator

When asked if pre-approval of cannabis packaging and labeling by a regulatory authority should be required, offered (voluntary), or neither required nor offered, there was no clear majority position in the survey population (see Table 9).

Table 9 – Pre-Approval of Packaging and Labeling: Mandatory or Voluntary

Pre-approval of cannabis packaging and labeling by a regulatory authority should be:	Response Percent	Response Count
Required	32.2%	39
Offered (voluntary)	49.6%	60
Neither required nor offered	18.2%	22
ans	swered question	121

Statistically significant relationships were found between opinion on pre-approval and working (or not) in Washington, Oregon, and California. 61% of those operating in California thought pre-approval should be offered, while there was no strong majority position among non-California operators. A majority (51.43%) of Washington operators thought pre-approval should be required, which makes sense as pre-approval is required in Washington. A majority (57.14%) of individuals with operations in Oregon, where pre-approval is also required, thought pre-approval should be offered, while about 40% of Washington operators shared this belief. Voluntary pre-approval was also most popular among non-Oregon operators but was not quite a majority position (48%). Support for eliminating pre-approval altogether was low in Washington and Oregon. With the exception of California, these findings further support our hypothesis that cannabis businesses tend to favor the regulatory requirements they are subject to. We believe the support for pre-approval found in California may reflect the influence of Oregon and Washington policy.

Table 10 – Opinion on Pre-Approval of Packaging and Labeling in Top Five States

	California	Colorado	Washington	Oregon	Nevada
Neither	6	9	3	0	3
	10.71%	14.29%	8.57%	0.00%	15.79%
Required	16	22	18	9	6
	28.57%	34.92%	51.43%	42.86%	31.58%
Offered	34	32	14	12	10
	60.71%	50.79%	40.00%	57.14%	52.63%
Total	56	63	35	21	19
	100%	100%	100%	100%	100%

Key
Frequency
Column Percentage

Industry and ancillary businesses both favored voluntary pre-approval, but plant-touching businesses showed more support for eliminating pre-approval entirely than ancillary businesses (27% vs. 8%, respectively). See Table 11 on the following page for further details.

Table 11 – Opinion on Pre-Approval of Packaging and Labeling: Industry Only vs. Ancillary Only

	Ancillary Only	Industry Only
Neither	4	17
	8.00%	27.42%
Required	17	20
	34.00%	32.26%
Offered	29	25
	58.00%	40.32%
Total	50	62
	100%	100%

Key
Frequency
Column Percentage

# Reuse of Product Packaging by Same Consumer

A majority of survey respondents thought consumers should be allowed to bring a child-resistant exit bag back to a dispensary and reuse it for a new purchase, provided that the exit bag would have to be in sanitary condition to be used again. Reuse of exit bags is already common in legal cannabis states. Nearly 50% of respondents also supported reuse of flower product packaging, but support for reuse of the remaining packaging types was minimal, as shown in Chart 17 below.

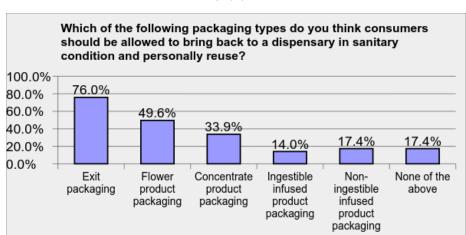


Chart 17

# Reuse of Product Packaging for Different Consumer

A majority of respondents thought dispensaries should be allowed to accept used exit bags from consumers, sanitize the used packaging, and then reuse it for a different consumer's purchase. However, support was less strong for reuse by a different consumer than it was for reuse by the same consumer. There was no majority support for reuse of any of any type of product packaging for a different consumer's purchase, though flower packaging came closest at 41% in favor. A much greater percentage was opposed to reuse of any packaging type in this manner (33.9%) than was opposed to reuse of any packaging type by the same consumer (17.4%).

Chart 18

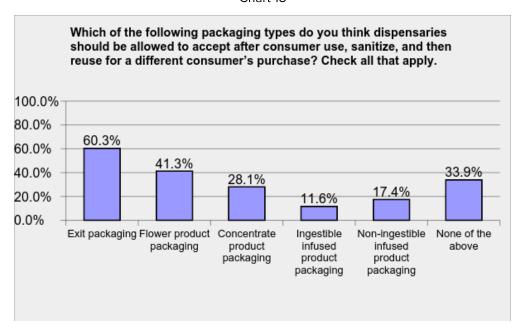


Figure 1: State of Operation

Alashama       1.7%       3         Alaska       5.6%       10         Arizona       12.9%       23         Arkansas       1.1%       2         California       44.9%       80         Colorado       46.1%       82         Connecticut       7.3%       13         Delaware       5.1%       9         District of Columbia (DC)       7.9%       14         Florida       7.9%       14         Georgia       1.1%       2         Guam       1.1%       2         Hawaii       5.6%       10         Idaho       1.1%       2         Illinois       10.7%       19         Indiana       1.7%       3         Iowa       0.6%       1         Kansas       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Missouri       1.1%       2	In which state(s) do you (or your company, if applicable) currently operate? Select all that apply.	Percent	Count
Arizona         12.9%         23           Arkansas         1.1%         2           California         44.9%         80           Colorado         46.1%         82           Connecticut         7.3%         13           Delaware         5.19%         9           District of Columbia (DC)         7.9%         14           Florida         7.9%         14           Georgia         1.1%         2           Guam         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Kentucky         1.1%         2           Kentucky         1.1%         2           Kentucky         1.1%         2           Maine         6.7%         12           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         <	Alabama	1.7%	3
Arkansas         1.1%         2           California         44.9%         80           Colorado         46.1%         82           Connecticut         7.3%         13           Delaware         5.1%         9           District of Columbia (DC)         7.9%         14           Florida         7.9%         14           Georgia         1.1%         2           Guam         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Louisiana         1.1%         2           Maine         6.7%         12           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         7.9%         14           Minnesota         3.9%         7           Mississippi         0.6%         1           Mississippi	Alaska	5.6%	10
California         44.9%         80           Colorado         46.1%         82           Connecticut         7.3%         13           Delaware         5.1%         9           District of Columbia (DC)         7.9%         14           Florida         7.9%         14           Georgia         1.1%         2           Guam         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Louisiana         1.1%         2           Maine         6.7%         12           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         7.9%         14           Minnesota         3.9%         7           Mississippi         0.6%         1           Missouri         1.1%         2           Mortana	Arizona	12.9%	23
Colorado         46.1%         82           Connecticut         7.3%         13           Delaware         5.1%         9           District of Columbia (DC)         7.9%         14           Florida         7.9%         14           Georgia         1.1%         2           Guam         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Louisiana         1.1%         2           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         7.9%         14           Minnesota         3.9%         7           Mississippi         0.6%         1           Minsouri         1.1%         2           Mortana         2.8%         5           Nebraska         1.7%         3           New Hampshire	Arkansas	1.1%	2
Connecticut         7.3%         13           Delaware         5.1%         9           District of Columbia (DC)         7.9%         14           Fiorida         7.9%         14           Georgia         1.1%         2           Guarn         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Louisiana         1.1%         2           Maine         6.7%         12           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         7.9%         14           Minnesota         3.9%         7           Mississippi         0.6%         1           Minnesota         3.9%         7           Mortana         2.8%         5           Nebraska         1.7%         3           New Hampshire	California	44.9%	80
Delaware         5.1%         9           District of Columbia (DC)         7.9%         14           Florida         7.9%         14           Georgia         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Louisiana         1.1%         2           Maine         6.7%         12           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         7.9%         14           Minnesota         3.9%         7           Missouri         1.1%         2           Montana         2.8%         5           Nebraska         1.7%         3           Nevada         14.0%         25           New Hampshire         5.6%         10           New Jersey         3.9%         7           New Mexico	Colorado	46.1%	82
District of Columbia (DC)         7.9%         14           Florida         7.9%         14           Georgia         1.1%         2           Guam         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Louisiana         1.1%         2           Maine         6.7%         12           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         7.9%         14           Minnesota         3.9%         7           Missouri         1.1%         2           Montana         2.8%         5           Nebraska         1.7%         3           Nevada         14.0%         25           New Hampshire         5.6%         10           New Jersey         3.9%         7           New Mexico         <	Connecticut	7.3%	13
Florida         7.9%         14           Georgia         1.1%         2           Guam         1.1%         2           Hawaii         5.6%         10           Idaho         1.1%         2           Illinois         10.7%         19           Indiana         1.7%         3           Iowa         0.6%         1           Kansas         1.1%         2           Kentucky         1.1%         2           Louisiana         1.1%         2           Maryland         6.7%         12           Maryland         9.0%         16           Massachusetts         12.9%         23           Michigan         7.9%         14           Minnesota         3.9%         7           Mississippi         0.6%         1           Mississippi         0.6%         1           Montana         2.8%         5           Nebraska         1.7%         3           Nevada         14.0%         25           New Hampshire         5.6%         10           New Jersey         3.9%         7           New Mexico         6.2% </td <td>Delaware</td> <td>5.1%</td> <td>9</td>	Delaware	5.1%	9
Georgia       1.1%       2         Guarn       1.1%       2         Hawaii       5.6%       10         Idaho       1.1%       2         Illinois       10.7%       19         Indiana       1.7%       3         Iowa       0.6%       1         Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Mississippi       0.6%       1         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3 <td>District of Columbia (DC)</td> <td>7.9%</td> <td>14</td>	District of Columbia (DC)	7.9%	14
Guam       1.1%       2         Hawaii       5.6%       10         Idaho       1.1%       2         Illinois       10.7%       19         Indiana       1.7%       3         Iowa       0.6%       1         Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Florida	7.9%	14
Hawaii       5.6%       10         Idaho       1.1%       2         Illinois       10,7%       19         Indiana       1.7%       3         Iowa       0.6%       1         Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississispipi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Georgia	1.1%	2
Idaho       1.1%       2         Illinois       10.7%       19         Indiana       1.7%       3         lowa       0.6%       1         Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Guam	1.1%	2
Illinois       10.7%       19         Indiana       1.7%       3         lowa       0.6%       1         Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Hawaii	5.6%	10
Indiana       1.7%       3         lowa       0.6%       1         Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Idaho	1.1%	2
lowa       0.6%       1         Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Illinois	10.7%	19
Kansas       1.1%       2         Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Indiana	1.7%	3
Kentucky       1.1%       2         Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	lowa	0.6%	1
Louisiana       1.1%       2         Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississisppi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Kansas	1.1%	2
Maine       6.7%       12         Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Kentucky	1.1%	2
Maryland       9.0%       16         Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Louisiana	1.1%	2
Massachusetts       12.9%       23         Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Maine	6.7%	12
Michigan       7.9%       14         Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Maryland	9.0%	16
Minnesota       3.9%       7         Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Massachusetts	12.9%	23
Mississippi       0.6%       1         Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Michigan	7.9%	14
Missouri       1.1%       2         Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Minnesota	3.9%	7
Montana       2.8%       5         Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Mississippi	0.6%	1
Nebraska       1.7%       3         Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Missouri	1.1%	2
Nevada       14.0%       25         New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Montana	2.8%	5
New Hampshire       5.6%       10         New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Nebraska	1.7%	3
New Jersey       3.9%       7         New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	Nevada	14.0%	25
New Mexico       6.2%       11         New York       10.1%       18         North Carolina       1.7%       3	New Hampshire	5.6%	10
New York         10.1%         18           North Carolina         1.7%         3	New Jersey	3.9%	7
North Carolina 1.7% 3	New Mexico	6.2%	11
	New York	10.1%	18
North Dakota 0.6% 1	North Carolina	1.7%	3
	North Dakota	0.6%	1
Ohio 2.8% 5	Ohio	2.8%	5

Oklahoma	1.1%	2
Oregon	18.0%	32
Pennsylvania	5.1%	9
Puerto Rico	3.9%	7
Rhode Island	5.1%	9
South Carolina	1.1%	2
South Dakota	0.6%	1
Tennessee	1.1%	2
Texas	3.4%	6
Utah	1.1%	2
Vermont	4.5%	8
Virginia	2.2%	4
Virgin Islands	1.1%	2
Washington	24.7%	44
West Virginia	1.1%	2
Wisconsin	1.7%	3
Wyoming	1.7%	3
Other (please specify)	5.6%	10

Figure 2: Universal Symbol Qualitative Responses, Categorized

Response:	Cannabis Leaf	Text	Cross	Other
green cross	X	X	~	×
The image should be a depiction of a cannabis leaf with the words "contains cannabis" included. As a nearly universally recognized symbol, it should be easily identifiable.	V	~	×	×
M for Medical; A for adult use	×	~	×	×
Cannabis Leaf	V	×	X	×
I think CO has the right idea (i.e., THC!)although the ! seems like overkill	×	~	X	×
Something simple saying THC	×	~	X	×
Medical Symbol with large M, for Medical, Medical Symbol with large R, for recreational-21 older	×	~	×	×
Simple, clear and friendly/approachable. Suggestive of wellness.	×	×	X	V
something that says or has a symbol that simply designates that the product contains marijuana (this should not be complicated)	×	~	×	×
marijuana leaf	V	X	×	×
Something simple and unobtrusive. Depends on all the other labeling requirements.	×	×	×	~

cannabis leaf. red cross on top of that, Caduceus medical symbol on top of that	V	X	<b>/</b>	X
Similar to Colorado's symbol - a diamond with "THC" in the center. No exclamation point or additional verbiage required.	×	~	×	X
Possibly a circle or octagon that has a leaf in the center that says "Contains THC"	<b>V</b>	V	×	×
No pictures. Professions block style letters.	×	V	×	X
Healing hands holding a cannabis leaf	~	×	×	×
A Marijuana leaf	~	×	×	×
a cannabis leaf?	V	X	×	X
Something that is not scary but recognizable.	×	X	×	~
THC (1 suppose is fine)	×	~	×	X
A cannabis leaf with some sort of seal	V	×	×	×
A cannabis leaf	V	×	×	×
Cannabis leaf with some sort of a shield around it.	V	X	×	X
Professional. Not a party symbol.	×	X	×	V
Triangle with a 9 to the right of the top of the triangle	×	X	×	V
Simple	×	X	×	V
Green Cross with THC inside it.	×	<b>/</b>	<b>V</b>	
It is worth investing in a branding firm to come up with this symbol.	×	X	×	V
Simple and clear. This should not be a negative symbol or a symbol indicating poison, as this is not a negative product and is not poison. Nothing misleading.	×	×	×	~
The words "Contains Cannabis" clearly on the front of the package would be sufficient.	×	<b>V</b>	×	X
A round badge with a cannabis leaf or "THC" in the middle.	~	<b>/</b>	×	×
We should work with experts on this to find out what can be used as a symbol that shows it is not to be consumed by children and that represents the industry in a professional way	×	×	×	~
I suppose the leaf symbol would do, certainly recognized.	V	×	×	×
green cross for medical; cannabis leaf for adult use	V	X	<b>V</b>	×
Simple & easy to recognize	×	×	×	V
A cannabis leaf	<b>✓</b>	×	×	×
I am fine with any positive symbol. No Mr. Yuk like symbols	×	×	×	~
clearly identifiable, but not a "poison" type of symbol.	×	×	×	V
trichome	×	×	×	~
A pot leaf. Some sort of non-language specific imprint of the symbol that implies caution and why (i.e. it has weed in it - most people understand the leaf).	~	×	X	X
Pot leaf logo, green	~	×	×	×
Green cross - this is an easily recognizable symbol in the industry and globally it is a symbol for a pharmacy. It would be easy to teach children that a green cross means that product is medicated.	×	×	~	X
I like the exclamation point used in Colorado, more of a "Yield" than a "Stop"	×	V	×	X

Cannabis leaf	<b>V</b>	×	X	X
a green shield	×	×	×	~
Cannabis leaf	V	×	×	×
A happy face in front of a pot leaf	<b>V</b>	×	×	×
As over-used as the cannabis leaf isperhaps a stylized leaf in a circle with some sort of symbol small in the middle of the leaf (check mark?)	<b>V</b>	×	X	×
MJ leaf inside bold box	<b>V</b>	X	×	×
Green Cross is pretty universal.	×	×	~	×
A green C. A green triangle. Some shape or symbol that is culturally neutral. And nothing that has to take up more than a very small percentage of the label space (5%?).	×	~	×	×
The symbol should be something that relates, and is easily identifiable, as a medical use product. Also, the adult-use symbology should be something that would attract attention that the product is strictly for adult-use and to keep away from children.	×	X	×	~
"THC" in block letters	×	~	×	×
It should just be THC or cannabis leaf	<b>V</b>	~	×	×
TOTAL:	23	17	6	15